

Juror Name: \_\_\_\_\_

Juror Signature: \_\_\_\_\_

Juror Number: \_\_\_\_\_

Juror No. \_\_\_\_\_

United States v. AU Optronics, et al.

Juror Questionnaire

The indictment charges the seven defendants on trial, namely AU Optronics Corporation, AU Optronics America Corporation, H.B. Chen, Dr. Hui Hsiung, Dr. L.J. Chen, Steven Leung and Hubert Lee with a single count of conspiracy to commit price-fixing. The indictment is simply the document used to advise a defendant of the accusations against it or him. The defendants have pleaded not guilty to the charge.

Instructions

Please complete the following questionnaire to assist the Court and counsel in selecting a jury to serve in the case of United States v. AU Optronics, et al. The purpose of these questions is not to ask unnecessarily about personal matters. It is simply to determine whether a prospective juror can decide the case fairly and impartially.

Please do not discuss the questionnaire or your answers with anyone. It is very important that the answers be yours and yours alone. Remember that there are no "right" or "wrong" answers; only truthful answers. Because this questionnaire is part of the jury selection process, it is to be answered under oath. You are sworn to give true and complete answers to all questions.

Please print your answers and use black or blue ink to ensure legibility. Do not write on the back of any page. If you require additional space for any of your answers or wish to make further comments, please use the explanation sheet(s) attached to the end of this questionnaire.

**Please do not write your name on any page other than the Declaration page.** When discussing friends and family, please do not refer to them by name.

Thank you for your cooperation.

Juror No. \_\_\_\_\_

In answering the following questions, please do not write your name or the names of any friends or family members.

1. What is your age, gender, and place of birth?  
[Same/ similar to Gov't Q1]

Age: \_\_\_\_\_ Gender: \_\_\_\_\_ Place of Birth: \_\_\_\_\_

2. Is English your native language?  Yes  No

a) If no, what is your native language? \_\_\_\_\_

b) How well do you understand written English?  
 Very Well  
 OK or Adequately  
 Not Very Well

c) How well do you understand spoken English?  
 Very Well  
 OK or Adequately  
 Not Very Well

3. In what area, neighborhood, or community do you currently live? (Do not give us your address.)  
[Same/ similar to Gov't Q2] \_\_\_\_\_

4. What is your marital status? [Same/ similar to Gov't Q9]

Single and never married  Divorced  
 Single, but living with someone  Separated  
 Married for \_\_\_\_\_ years  Widowed  
 Domestic partnership  Other, explain: \_\_\_\_\_

5. What is the highest level of education that you have completed, and please indicate your major or area of focus. [Same/ similar to Gov't Q7]

Grade school or less  Technical/vocational school. Subject: \_\_\_\_\_  
 Some high school  Some college. Major/ degree: \_\_\_\_\_  
 High school graduate School attended: \_\_\_\_\_  
 College graduate. Major/ degree: \_\_\_\_\_  
School attended: \_\_\_\_\_  
 Graduate school. Major/ degree: \_\_\_\_\_  
School attended: \_\_\_\_\_  
 Other: \_\_\_\_\_

a) If currently attending, or planning to attend, school, please tell us what school you are attending, or planning to attend, and what you are studying or planning to study.  
\_\_\_\_\_

6. What is your current (or most recent) job, who is (or was) your employer, and how long have you worked (or did you work) there? **[Same/ similar to Gov't Q6]**

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7. Please briefly describe the job duties of your current (or most recent) job.

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8. What other employment have you had over the past 10 years, and for how long? **[Same/ similar to Gov't Q5]**

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9. If married, or sharing a household with another adult, please describe that person's job. (Please state if they are retired, a homemaker who does not work outside the home, unemployed, disabled, or a student. If any of these, describe their previous occupation or most recent job.) **[Same/ similar to Gov't Q10]**

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10. If you have children, could you please list them below, and make sure to include any who are not currently living with you? **[Same/ similar to Gov't Q12]**

<u>Gender</u>	<u>Age</u>	<u>Does child live with you?</u>	<u>Education</u>	<u>Occupation</u>
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11. Have you ever served on a jury?  Yes  No  
**[Same/ similar to Gov't Q15]**

a) If yes, in what court? (Federal and/or state court) 

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b) If yes, how many were criminal trials? 

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c) How many were civil trials? 

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d) Did you serve as foreperson on any of these trials?  Yes  No

e) Without indicating what the verdicts were, have all of the juries you served on reached a verdict?  Yes  No

f) How would you describe your past jury experience(s): positive, neutral or negative?  Positive  
 Neutral  
 Negative

g) Why do you say that?

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12. Have you ever served on a grand jury?  Yes  No  
**[Same/ similar to Gov't Q16]**

a) How would you describe your experience(s) as a grand juror: positive, neutral or negative?  Positive  
 Neutral  
 Negative

b) Why do you say that?

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13. Have you ever been involved in any type of lawsuit (criminal or civil)? (Check all that apply.) **[Same/ similar to Gov't Q17]**

	<b><u>Plaintiff</u></b> <b><u>(civil)</u></b>	<b><u>Defendant</u></b> <b><u>(civil)</u></b>	<b><u>Defendant</u></b> <b><u>(criminal)</u></b>
	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes	<input type="checkbox"/> Yes
	<input type="checkbox"/> No	<input type="checkbox"/> No	<input type="checkbox"/> No

a) If yes, please explain.

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14. Have you ever been a witness in a trial, given testimony to a grand jury or given testimony in a deposition? (Check all that apply.) **[Same/ similar to Gov't Q18]**

	<input type="checkbox"/> Yes, trial	<input type="checkbox"/> No
	<input type="checkbox"/> Yes, deposition	
	<input type="checkbox"/> Yes, grand jury	

a) If yes, please tell us about that testimony, including whether it was for a criminal or civil case, and why you were asked to give testimony.

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b) How would you describe that experience(s): positive, neutral or negative?  Positive  
 Neutral  
 Negative

c) Why do you say that?

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15. What magazines, newspapers, periodicals, websites or blogs do you read on a regular basis?

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16. How much of your time do you spend in front of a computer, television or video game console?  A great deal  
 Some  
 A little  
 None

17. During the period from 2001 to 2006, did you purchase a flat screen television, laptop computer, flat screen desktop computer monitor, or mobile wireless handset?  Yes  No  
[Same/ similar to Gov't Q28]

a) If yes, please identify the item(s) purchased.

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b) When was the item purchased? (If more than one item, please answer this question for each item.)

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c) What was the brand of the item purchased? (If more than one item, please answer this question for each item.)

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18. Do you think new electronic high-tech devices, like computers and TVs, are fairly priced?  Yes  No

a) If no, why do you say that?

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19. Have you, or someone close to you, ever worked for a company based in Taiwan, China, Korea, or Japan? (Check all that apply.)  Yes, me  Yes, someone close to me  No  
[Same/ similar to Gov't Q20]

a) If yes, could you please tell us who, for how long, for what company and where it was based?

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20. Have you ever lived in, or traveled to, Taiwan, China, Korea, or Japan?  Yes  No  
[Same/ similar to Gov't Q21]

a) If yes, could you please tell us how many times, where, for how long and whether it was for business, education or pleasure?

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21. Are you able to read, write or speak Korean, Japanese or Chinese?  Yes  No  
[Same/ similar to Gov't Q22-23]

a) If yes, what language and how did you come to know the language?

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22. Do you agree, or disagree, with the following statement: Asian companies these days have an advantage over their American competitors.  Agree  
 Unsure  
 Disagree

23. Do you consider companies and/or business executives from the following countries more, less or just as honest as American business executives?

	<u>More Honest</u>	<u>Just as Honest</u>	<u>Less Honest</u>	<u>No Opinion</u>
a) Taiwanese companies/ business executives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
b) Chinese companies/ business executives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Korean companies/ business executives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
c) Japanese companies/ business executives	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

24. Do you have any education, training or work experience in any of the following areas? (Check as many as apply.)

- a) The law
- b) Business law
- c) International business
- d) The engineering, manufacture or sales of Liquid Crystal Displays (LCDs)
- e) The engineering, manufacture or sales of computers
- f) The engineering, manufacture or sales of televisions
- g) The engineering, manufacture or sales of other high-tech products
- h) Finance or accounting
- i) Economics
- j) Statistics
- k) Government or regulatory affairs
- l) Sociology, anthropology or any other cultural studies program

a) For any checked box above, could you please explain who and what kind of training, education or experience?

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25. Have you ever had a job involving either sales, the pricing of products, or market research?  Yes  No

a) If so, please explain.

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26. Have you ever worked somewhere in which it was forbidden to have any contact with someone working for a competitor?  Yes  No

a) If yes, could you please tell us where this was and why such contact was forbidden?

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27. Have you ever belonged to an organization, civic club, professional associations, recreational groups, or clubs?  Yes  No

**[Same/ similar to Gov't Q13]**

a) If yes, could you please tell us which organization(s) and approximately when you belonged?

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28. Have you, or someone close to you, ever been the victim of a serious crime? (Check all that apply.) **[Same/ similar to Gov't Q24]**  Yes, me  Yes, someone close to me  No

a) If yes, please tell us who, when, what happened and was someone arrested?

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- b) Did you, or someone close to you, testify in the trial?  Yes, me  
 Yes, someone close to me  
 No
- c) Were you satisfied with how the matter was handled? If not, why not?
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29. Have you, or someone close to you, ever been accused of a serious crime? (Check all that apply.)  Yes, me  
 Yes, someone close to me  
 No  
**[Same/ similar to Gov't Q25]**
- a) If yes, could you please tell us who, when, what happened and were you/they arrested?
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- b) Did you, or someone close to you, testify in the trial?  Yes, me  
 Yes, someone close to me  
 No
- c) Were you satisfied with how the matter was handled? If not, why not?
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30. Have you, or someone close to you, ever been employed in any of the following areas of law, government or civil service?

- | <u>You</u>               | <u>Someone close</u>     |   |
|--------------------------|--------------------------|---|
| <input type="checkbox"/> | <input type="checkbox"/> | a) Law enforcement or an investigative agency of the government (i.e., police, sheriff, FBI, Secret Service, CIA, DEA, ATF, etc.) |
| <input type="checkbox"/> | <input type="checkbox"/> | b) The criminal justice system  |
| <input type="checkbox"/> | <input type="checkbox"/> | c) A federal or state correctional agency or facility   |
| <input type="checkbox"/> | <input type="checkbox"/> | d) The court system   |
| <input type="checkbox"/> | <input type="checkbox"/> | e) An office of the U.S. Attorney   |
| <input type="checkbox"/> | <input type="checkbox"/> | f) An office of a State Attorney General  |
| <input type="checkbox"/> | <input type="checkbox"/> | g) An office of a District Attorney   |
| <input type="checkbox"/> | <input type="checkbox"/> | h) Some other government organization   |
| <input type="checkbox"/> | <input type="checkbox"/> | i) A lawyer or some organization involved with the law  |

a) For any checked box above, could you please explain who, how long and for whom.

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31. Have you ever worked for, done business with, owned stock in, or had any connection whatsoever to any of the following companies: Apple, Hewlett-Packard, Samsung, Dell, LG Philips, Sharp, Hitachi, Hannstar, Chunghwa Picture Tubes ("CPT") or Chi Mei Optoelectronics ("CMO")?  Yes  No  
a) If yes, could you please explain?

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32. Have you ever read, or heard, anything about a company called AU Optronics Corporation? **[Same/ similar to Gov't Q29]**  Yes  No  
a) If yes, could you please tell us what you have read or heard?

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33. Do you have any opinions about AU Optronics Corporation?  Yes  No  
a) If yes, could you please tell us what those opinions are?

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34. Have you, or someone close to you, ever owned any stock in AU Optronics Corporation? (Check all that apply.)  Yes, me  Yes, someone close to me  No  
**[Same/ similar to Gov't Q30]**  
a) If yes, could you please tell us who, and for how long you/they held that stock?

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35. Have you, or someone close to you, ever worked for, or done business with, AU Optronics Corporation? (Check all that apply.)  Yes, me  
 Yes, someone close to me  
 No

a) If yes, could you please explain?

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36. In this case, the U.S. government is accusing the defendants of violations of the Sherman Antitrust Act. Have you read, or heard, anything about this case? [**Same/ similar to Gov't Q31**]  Yes  No

a) If yes, please tell us what you have read or heard.

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37. Have you formed any opinions about this case?  Yes  No  
a) If yes, please tell us what those opinions are.
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a) Would these opinions that you have formed make it difficult for you to serve as a fair and impartial juror in this case?  Yes  No

38. How much do you know about antitrust law (for example, the Sherman Antitrust Act)?  Quite a bit  
 A little  
 Nothing at all

39. Have you read, or heard, anything about any other case in which the U.S. government is accusing someone of price-fixing or any other violation of the Sherman Antitrust Act?  Yes  No

a) If yes, please tell us what you have read or heard.

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40. If the government files criminal charges against a company and some of its executives, do you think this means that the company and its executives did something wrong?  Yes  No  
a) If yes, could you please explain?

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41. Every defendant is presumed innocent and cannot be convicted unless the jury unanimously, and based solely on the evidence in the case, decides that guilt has been proved beyond a reasonable doubt. Would you have any difficulty following this rule?  Yes  No  
a) If yes, could you please explain?

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42. Some witnesses in this case may be law enforcement officers and government officials. The credibility of these witnesses is to be judged by the same standards as any other witness. Their testimony is not entitled to any greater or lesser weight simply because they are involved in law enforcement or the government. Would you have any difficulty following this rule?  Yes  No  
a) If yes, could you please explain?

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43. In general, would you view the evidence or witnesses presented by the defense differently than you would the evidence or witnesses presented by the prosecution?  Yes  No  
a) If yes, could you please explain?

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44. Under the law, a defendant does not need to testify or produce any evidence. This is because the government has the burden to prove its case against the defendants. If a defendant does not testify, would this affect your view of his or her innocence?  Yes  No

a) If yes, could you please explain?

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45. Could you be fair and impartial in a case like this in which the government is alleging that the defendants violated the Sherman Antitrust Act by conspiring with others in their industry to set, or fix, the prices they charged their customers?  Yes  No  
[Same/ similar to Gov't Q32]

a) If no, could you please explain?

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46. Do you have any ethical, religious, political, or other beliefs that would make it difficult for you to serve as a juror in this case?  Yes  No  
[Same/ similar to Gov't Q33]

a) If yes, could you please explain?

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47. Do you have any physical problems (i.e., vision, hearing, etc.) that would make it difficult for you to serve as a juror in this case?  Yes  No  
[Same/ similar to Gov't Q34]

a) If yes, could you please explain?

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48. Is there any other reason that would prevent you from being a fair and impartial juror in this case?  Yes  No  
[Same/ similar to Gov't Q35]

a) If yes, could you please explain?

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49. Attached is a list of law firms and attorneys representing the parties in this case, organizations involved in this case, and persons who are potential witnesses in this case. If you know, or think you know, any of the persons or persons in the organizations listed, please circle any name that is familiar to you.  
[Same/ similar to Gov't Q36]

Law Office of Brian Berson  
Law Office of Dennis Cashman  
Law Office of John D. Cline  
Cooley Godward Kronish LLP  
Law Office of Brian Getz  
Law Office of K.C. Maxwell  
Nossaman LLP  
Law Office of William L. Osterhoudt  
Riordan & Horgan  
Sedgwick LLP  
Shearman & Sterling LLP  
U.S. Department of Justice  
Mikael A. Abye  
Michael Attanasio  
Brian Berson  
Dara Cashman  
Dennis R. Cashman  
Dayle Carlson  
Hsuan Bin Chen  
Dr. Lai-Juh Chen  
John D. Cline  
Brendan Conroy  
Bruce Deal  
Jennifer French  
Brian H. Getz  
Thomas Gold  
Doug Guthrie  
Dr. Robert Hall

Mark Hancock  
Veronica L. Harris  
Michael F. Healy  
Donald Horgan  
Dr. Hui Hsiung  
Kirk C. Jenkins  
Chi Soo Kim  
Paul L. Knight  
Tsannrong "Hubert" Lee  
Shiu Lung "Steven" Leung  
K.C. Maxwell  
Christopher A. Nedeau  
William L. Osterhoudt  
Dennis Riordan  
Patrick Robbins  
Dr. Larry Samuelson  
Dr. Richard Schmalensee  
Ross Young  
Peter K. Huston  
Lidia Maher  
Michael L. Scott  
Brent Charles Snyder  
Christopher Ries  
E. Kate Patchen  
Heather S. Tewksbury  
Micah Lanielle Wyatt  
Niall Lynch

AU Optronics Corporation  
AU Optronics Corporation America, Inc.  
Chi Mei Corporation  
Chi Mei Innolux Corporation  
Chi Mei Optoelectronics Corporation  
Chi Mei Optoelectronics USA, Inc.  
Chunghwa Picture Tubes Ltd.  
CMO Japan Co. Ltd.  
Dell Products, L.P  
Dell, Inc.  
Epson Electronics America, Inc.  
Epson Imaging Devices Corporation  
Hannstar Display Corporation  
Hewlett-Packard Company  
Hitachi Displays, Ltd.  
Hitachi Electronic Devices (USA), Inc.  
Hitachi, Ltd.  
LG Display America, Inc.  
LG Display Co. Ltd.  
(formerly known as LG Philips LCD)  
Mitsui & Co. (Taiwan), Ltd.  
Mitsui & Co. (USA), Inc.

NEC Corporation  
NEC Corporation of America  
NEC Display Solutions of America, Inc  
NEC Electronics America, Inc.  
NEC LCD Technologies, Ltd.  
Nexgen Mediatech USA, Inc.  
Nexgen Mediatech, Inc.  
Philips Electronics North America Corporation  
Qisda (formerly known as BenQ)  
Samsung Electronics America, Inc.  
Samsung Electronics Co., Ltd.  
Samsung SDI America, Inc  
Samsung SDI Co., Ltd.  
Samsung Semiconductor, Inc.  
Seiko Epson Corporation  
Sharp Corporation  
Sharp Electronics Corporation  
Tatung Company of America, Inc.  
  
Toshiba America Electronics Components, Inc.  
Toshiba America Information Systems, Inc.  
Toshiba Corporation

[Government witnesses to be added to the list above. The government is disclosing its witnesses by November 25, 2011.]

## Declaration

I, (insert name) \_\_\_\_\_, understand that I have been ordered by the Court to refrain as of now from disseminating or obtaining information about AU Optronics Corporation or any other defendant, this trial, the court, any of the parties, attorneys or witnesses, to or from any source outside the courtroom from now until I am dismissed as a juror.

This means that I have been ordered not to research or read about this case or about AU Optronics Corporation or any other defendant. I have been ordered not to communicate about this case or about any of the parties in this case. This means I should not read, research, or communicate with anyone, directly or indirectly, by any means, including: a writing, the telephone, electronic chat, e-mail, networking sites like Facebook, MySpace or LinkedIn, Twitter, instant messaging, Blackberry messaging, text messaging, internet search engines such as Google or Yahoo, electronic or print media, blogs, or by any other means, electronic or otherwise.

This order is necessary in order to protect the rights of both sides to have a fair trial based on evidence introduced in a court of law. **I understand that if I were to violate this order I could be held in contempt of court which is punishable by jail and/or monetary fine.**

I, (insert name) \_\_\_\_\_, declare under penalty of perjury that the answers set forth in the Jury Questionnaire are true and correct to the best of my knowledge and belief. I have not discussed my answers with others or received assistance in completing the questionnaire.

Your Name: \_\_\_\_\_  
(Printed)

Signature: \_\_\_\_\_ Date: \_\_\_\_\_

