

UNITED STATES DISTRICT COURT

Northern

District of

California

UNITED STATES OF AMERICA

V.

Starkist Co.

**JUDGMENT IN A CRIMINAL CASE**  
(For Organizational Defendants)

CASE NUMBER: 18-CR-00513-EMC

Niall Lynch and Ashley Bauer (retained)

Defendant Organization's Attorney

**THE DEFENDANT ORGANIZATION:**

pleaded guilty to count(s) One of the Information

pleaded nolo contendere to count(s) \_\_\_\_\_  
which was accepted by the court.

was found guilty on count(s) \_\_\_\_\_  
after a plea of not guilty.

The organizational defendant is adjudicated guilty of these offenses:

<u>Title &amp; Section</u>	<u>Nature of Offense</u>	<u>Offense Ended</u>	<u>Count</u>
15 U.S.C. § 1	Price Fixing	12/ 2013	1

The defendant organization is sentenced as provided in pages 2 through 4 of this judgment.

The defendant organization has been found not guilty on count(s) \_\_\_\_\_


Count(s) \_\_\_\_\_  is  are dismissed on the motion of the United States.

It is ordered that the defendant organization must notify the United States attorney for this district within 30 days of any change of name, principal business address, or mailing address until all fines, restitution, costs, and special assessments imposed by this judgment are fully paid. If ordered to pay restitution, the defendant organization must notify the court and United States attorney of material changes in economic circumstances.

Defendant Organization's  
Federal Employer I.D. No.: 26-2883016

Defendant Organization's Principal Business Address:

9/11/2019  
Date of Imposition of Judgment

  
Signature of Judge

The Honorable Edward M. Chen U.S. District Court Judge  
Name of Judge Title of Judge

9/11/2019  
Date

Defendant Organization's Mailing Address:

DEFENDANT ORGANIZATION: Starkist Co.  
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**PROBATION**

The defendant organization is hereby sentenced to probation for a term of :  
13 months

The defendant organization shall not commit another federal, state or local crime.

If this judgment imposes a fine or a restitution obligation, it is a condition of probation that the defendant organization pay in accordance with the Schedule of Payments sheet of this judgment.

All Standard Conditions are waived. The defendant shall be on unsupervised probation, shall not need to report, and does not need to provide any documentation. The defendant may file a motion, pursuant to 18 U.S.C. 3572, to modify their payment schedule of the fine. If the defendant files this motion or if the Court requests assistance from the probation office, the probation officer then has the authority to access all financial records of the defendant that are necessary to assist the Court in determining whether to a modification of the payment schedule is warranted.

DEFENDANT ORGANIZATION: Starkist Co.

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**CRIMINAL MONETARY PENALTIES**

The defendant organization must pay the following total criminal monetary penalties under the schedule of payments on Sheet 4.

	<u>Assessment</u>	<u>Fine</u>	<u>Restitution</u>
<b>TOTALS</b>	\$ 400.00	\$ 100,000,000.00	None

- The determination of restitution is deferred until \_\_\_\_\_. An *Amended Judgment in a Criminal Case* (AO 245C) will be entered after such determination.
- The defendant organization shall make restitution (including community restitution) to the following payees in the amount listed below.

If the defendant organization makes a partial payment, each payee shall receive an approximately proportioned payment, unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.

Name of Payee	Total Loss*	Restitution Ordered	Priority or Percentage
<b>TOTALS</b>	\$ <u>0.00</u>	\$ <u>0.00</u>	

- Restitution amount ordered pursuant to plea agreement \$ \_\_\_\_\_
- The defendant organization shall pay interest on restitution or a fine of more than \$2,500, unless the restitution or fine is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on Sheet 4 may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).
- The court determined that the defendant organization does not have the ability to pay interest, and it is ordered that:
  - the interest requirement is waived for the  fine  restitution.
  - the interest requirement for the  fine  restitution is modified as follows:

\* Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

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**SCHEDULE OF PAYMENTS**

Having assessed the organization’s ability to pay, payment of the total criminal monetary penalties are due as follows:

- A  Lump sum payment of \$ \_\_\_\_\_ due immediately, balance due
  - not later than \_\_\_\_\_, or
  - in accordance with  C or  D below; or
- B  Payment to begin immediately (may be combined with  C or  D below); or
- C  Payment in \_\_\_\_\_ (e.g., equal, weekly, monthly, quarterly) installments of \$ \_\_\_\_\_ over a period of \_\_\_\_\_ (e.g., months or years), to commence \_\_\_\_\_ (e.g., 30 or 60 days) after the date of this judgment; or
- D  Special instructions regarding the payment of criminal monetary penalties:

The payment schedule of the fine is as follows:  
 Lump sum payments of \$5,000,000 due by 10/11/2019; \$11,000,000 due by 10/11/2020; \$21,000,000 due by 10/11/2021; \$21,000,000 due by 10/11/2022; \$21,000,000 due by 10/11/2023; and \$21,000,000 due by 10/11/2024

All criminal monetary penalties are made to the clerk of the court.

The defendant organization shall receive credit for all payments previously made toward any criminal monetary penalties imposed.

Joint and Several

Defendant and Co-Defendant Names and Case Numbers (including defendant number), Total Amount, Joint and Several Amount, and corresponding payee, if appropriate.

- The defendant organization shall pay the cost of prosecution.
- The defendant organization shall pay the following court cost(s):
- The defendant organization shall forfeit the defendant organization’s interest in the following property to the United States:

Payments shall be applied in the following order: (1) assessment, (2) restitution principal, (3) restitution interest, (4) fine principal, (5) fine interest, (6) community restitution, (7) penalties, and (8) costs, including cost of prosecution and court costs.