# **United States District Court**

# **Northern District of California**

# UNITED STATES OF AMERICA v. SAMSUNG ELECTRONICS COMPANY, LTD

#### JUDGMENT IN A CRIMINAL CASE

(For Organizational Defendants)

Case Number: CR-05-0643-01 PJH

James McGinnis and Gary L. Halling

Defendant Organization's Attorney

#### THE DEFENDANT ORGANIZATION:

[] p	pleaded guilty to count(s): One of the Information.  pleaded nolo contendere to count(s) which was accepted by the court.  was found guilty on count(s) after a plea of not guilty.			
The organiz	zational defendant is adjudicated guilty of these offense(s).			
Title & S	Section Nature of Offense	Offense <u>Ended</u>	<u>Count</u>	
15 U.S.C.	§1 Price Fixing	6/15/2002	One	
Т	The defendant organization is sentenced as provided in pages 2	through <u>4</u> of this judgment.		
[] [	The defendant organization has been found not guilty on count(s)			
[] (	Count(s) (is)(are) dismissed on the motion of the United Sta	ates.		
change of judgment a	T IS ORDERED that the defendant organization must not name, principal business address, or mailing address until are fully paid. If ordered to pay restitution, the defenda- anges in economic circumstances.	all fines, restitution, costs, and special assessm	ents imposed by this	
Defendant	Organization's Federal Employer I.D.:	11/30/05		
Samsung E 250, 2-ga, 7	Organization's Principal Business Address: Electronics Co., Ltd. Taepyong-ro, Jung-gu -742, South Korea	Date of Imposition of Judgmo		
	Organization's Mailing Address:	Honorable Phyllis J. Hamilton, U. S. D Name & Title of Judicial Offi		
250, 2-ga, 7	Гаерyong-ro, Jung-gu	12/2/05		
Seoui, 100-	-742, South Korea	Date		

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## **CRIMINAL MONETARY PENALTIES**

The defendant organization must pay the following total criminal monetary penalties under the schedule of payments set forth in this judgment.

set	forth in this judgment.	Assessment	<u>Fine</u>	Restitution	
	Totals: \$300,000,400.00	\$ 400.00	\$ 300 million	\$	
[]	The determination of restitution is deferred until An <i>Amended Judgment in a Criminal Case</i> (AO 245C) will be entered after such determination.				Эе
[] amo	[] The defendant organization shall make restitution (including community restitution) to the following payees in the amounts listed below.				
If the defendant organization makes a partial payment, each payee shall receive an approximately proportional payment unless specified otherwise in the priority order or percentage payment column below. However, pursuant to 18 U.S.C. § 3664(i), all nonfederal victims must be paid before the United States is paid.					
Name of Payee		Total Los	Restitution Ord	ered Priority or Percentage	
	<u>Totals:</u>	\$_ \$_			
[]	Restitution amount ordered pursua	nt to plea agreement	\$_		
[]	The defendant organization shall pay interest on restitution or a fine of more than \$2,500, unless the fine or restitution is paid in full before the fifteenth day after the date of the judgment, pursuant to 18 U.S.C. § 3612(f). All of the payment options on the Schedule of Payment Page, Part B may be subject to penalties for delinquency and default, pursuant to 18 U.S.C. § 3612(g).				
[]	The court determined that the defe that:	ndant organization d	oes not have the ability to	pay interest, and it is ordered	
	[ ] the interest requirement is wai	ved for the [] fin	ne [] restitution.		
	[ ] the interest requirement for the	e [] fine []	restitution is modified as f	ollows:	

<sup>\*</sup> Findings for the total amount of losses are required under Chapters 109A, 110, 110A, and 113A of Title 18 for offenses committed on or after September 13, 1994, but before April 23, 1996.

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## **SCHEDULE OF PAYMENTS**

Having assessed the organization's ability to pay, payment of the total criminal monetary penalties are due as follows:					
A	[ <b>x</b> ]	Lump sum payment for special assessment of \$400.00 due immediately, balance due			
	[]	not later than, or			
	[ x]	in accordance with ( ) C,	or ( x ) D; or		
В	[]	Payment to begin immediately (may be combined with ( ) C, or ( ) D below); or			
C	[]	Payment in (e.g. equal, weekly, monthly, quarterly) installments of \$ _ over a period of (e.g., months or years), to commence _ (e.g., 30 or 60 days) after the date of this judgment; or			
D [x] Special instructions regarding the payment of criminal monetary penalties:  The fine is to be paid in the following installments: within 15 days of imposition of sentence-\$50 million; at the one-year anniversary of imposition of sentence-\$50 million (plus any accrued interest); at the two-year anniversary-\$50 million (plus any accrued interest); at the four-year anniversary-\$50 million (plus any accrued interest); at the five-year anniversary-\$50 million (plus any accrued interest); provided, however, that the Defendants shall have the option at any time before the five year anniversary of prepaying the remaining balance then owing on the fine.					
All criminal monetary penalties are made to the Clerk of Court, U.S. District Court, 450 Golden Gate Avenue, San Francisco, CA 94102.  The defendant organization shall receive credit for all payments previously made toward any criminal monetary penalties imposed  [x] Joint and Several					
		Case Number  ndant and Co-Defendant  Names  studing Defendant Number)	Total Amount	Joint and Several <u>Amount</u>	Corresponding Payee, <u>if appropriate</u>
$\mathcal{C}$	R-05	-0643-01 PIH	\$300,000,400,00	\$300,000,400,00	

CR-05-0643-01 PJH \$300,000,400.00 \$
Samsung Electronics Company.
LTD
CR-05-0643-02 PJH
Samsung Semiconductor, Inc.

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]	The defendant org	ganization shall pay the cost of prosecution.	
]	The defendant org	ganization shall pay the following court cost(s):	
[] Sta	The defendant or tes:	ganization shall forfeit the defendant organization's interes	et in the following property to the United