

CLOSED

**U.S. District Court  
Southern District of Ohio (Cincinnati)  
CRIMINAL DOCKET FOR CASE #: 1:16-cr-00078-MRB-1**

Case title: USA v. Hitachi Automotive Systems Ltd

Date Filed: 08/09/2016

Date Terminated: 02/17/2017

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Assigned to: Judge Michael R. Barrett

**Defendant (1)****Hitachi Automotive Systems Ltd***TERMINATED: 02/17/2017*represented by **Craig P Seebald**

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**Pending Counts**

15:1 - CONSPIRACY TO RESTRAIN  
TRADE (SHERMAN ANTITRUST ACT)  
(1)

**Highest Offense Level (Opening)**

Felony

**Terminated Counts**

None

**Highest Offense Level (Terminated)**

None

**Complaints**

**Disposition**

18 Months Probation with conditions;  
\$400.00 special assessment;  
\$55,480,000.00 fine

**Disposition**

**Disposition**

None

**Plaintiff****USA**represented by **Carla M Stern**

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<b>Date Filed</b>	<b>#</b>	<b>Docket Text</b>
08/09/2016		Judge update in case as to Hitachi Automotive Systems Ltd. Judge Michael R. Barrett added. (km) (Entered: 08/09/2016)
08/09/2016	<a href="#">2</a>	INFORMATION as to Hitachi Automotive Systems Ltd (1) count(s) 1. (km) (Entered: 08/09/2016)
08/09/2016	<a href="#">3</a>	PLEA AGREEMENT as to Hitachi Automotive Systems Ltd (km) (Additional attachment(s) added on 8/9/2016: # <a href="#">1</a> Attachment A) (km). (Entered: 08/09/2016)
08/09/2016	<a href="#">4</a>	MOTION to Seal Attachment "A" to the Plea Agreement by USA as to Hitachi Automotive Systems Ltd. (km) (Entered: 08/09/2016)
08/09/2016	<a href="#">5</a>	ORDER granting <a href="#">4</a> Motion to Seal Attachment "A" to the Plea Agreement as to Hitachi Automotive Systems Ltd (1). Signed by Magistrate Judge Stephanie K. Bowman on 8/9/2016. (km) (Entered: 08/09/2016)

08/16/2016	<a href="#">6</a>	NOTICE OF ATTORNEY APPEARANCE: Jeffrey R Teeters appearing for Hitachi Automotive Systems Ltd (Teeters, Jeffrey) (Entered: 08/16/2016)
08/16/2016	<a href="#">7</a>	MOTION for Leave to Appear Pro Hac Vice of Craig Seebald (Filing fee \$ 200, receipt number 0648-5617209) by Hitachi Automotive Systems Ltd. (Teeters, Jeffrey) (Entered: 08/16/2016)
08/17/2016		NOTICE OF HEARING as to Hitachi Automotive Systems Ltd; Please be aware that an Initial Appearance/Plea is set for 9/29/2016 at 10:30 AM in Courtroom 109 - Cincinnati before Judge Michael R. Barrett. (ba) (Entered: 08/17/2016)
08/18/2016	<a href="#">8</a>	ORDER granting <a href="#">7</a> Motion for Leave to Appear pro hac vice as to Hitachi Automotive Systems Ltd (1). Signed by Judge Michael R. Barrett on 8/18/16. (ba) (Entered: 08/18/2016)
08/23/2016	<a href="#">9</a>	NOTICE <i>Rule 12.4(a)(2) Disclosure Statement of the United States</i> by USA as to Hitachi Automotive Systems Ltd (Stern, Carla) (Entered: 08/23/2016)
08/29/2016	<a href="#">10</a>	MOTION for Leave to Appear Pro Hac Vice of Matthew Jacobs, Katherine Kim, Brian Schnapp (Filing fee \$ 600, receipt number 0648-5633835) by Hitachi Automotive Systems Ltd. (Teeters, Jeffrey) (Entered: 08/29/2016)
09/01/2016	<a href="#">11</a>	NOTICE re <a href="#">10</a> MOTION for Leave to Appear Pro Hac Vice of Matthew Jacobs, Katherine Kim, Brian Schnapp (Filing fee \$ 600, receipt number 0648-5633835) by Hitachi Automotive Systems Ltd (Attachments: # <a href="#">1</a> Filing Fee Receipt Certificate of Good Standing) (Teeters, Jeffrey) (Entered: 09/01/2016)
09/06/2016	<a href="#">12</a>	ORDER granting <a href="#">10</a> MOTION for Leave to Appear Pro Hac Vice of Matthew Jacobs, Katherine Kim, Brian Schnapp as to Hitachi Automotive Systems Ltd (1). Signed by Judge Michael R. Barrett on 9/6/16. (eh) (Entered: 09/06/2016)
09/29/2016	<a href="#">13</a>	Minute Entry for proceedings held before Judge Michael R. Barrett:Initial Appearance as to Hitachi Automotive Systems Ltd held on 9/29/2016; Counsel present; Guilty Plea entered by Hitachi Automotive Systems Ltd (1) Guilty Count 1 of an Information; case to proceed with PSI; sentencing set 2/16/17 at 10:00 am, Courtroom 109. (Court Reporter: Mary Ann Ranz) (ba) (Entered: 09/29/2016)
09/29/2016	<a href="#">14</a>	WAIVER OF INDICTMENT by Hitachi Automotive Systems Ltd (ba) (Entered: 09/29/2016)
09/29/2016	<a href="#">15</a>	Presentence Report Disclosure Notification received as to Hitachi Automotive Systems Ltd. Report to be completed by Laura Shannon. Presentence Investigation Report due by 12/15/2016. (ba) (Entered: 09/29/2016)
09/29/2016		NOTICE OF HEARING as to Hitachi Automotive Systems Ltd; Sentencing set for 2/16/2017 at 10:00 AM in Courtroom 109 - Cincinnati before Judge Michael R. Barrett. (ba) (Entered: 09/29/2016)
10/11/2016	<a href="#">16</a>	TRANSCRIPT of Proceedings as to Hitachi Automotive Systems Ltd (Plea Hearing) held on 09-29-16 before Judge Michael R. Barrett. Court Reporter/Transcriber: Mary Ann Ranz, Telephone number 513-564-7626. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through

		<p>PACER.</p> <p>NOTICE RE: REDACTION OF TRANSCRIPTS: Within 5 business days of this filing, each party shall inform the Court, by filing a Notice of Redaction, of the party's intent to redact personal data identifiers from the electronic transcript of the court proceeding. The policy is located on our website at <a href="http://www.ohsd.uscourts.gov">www.ohsd.uscourts.gov</a> (Forms - Electronic Availability of Transcripts). Please read this policy carefully.</p> <p>For a complete copy of a transcript, please contact the Court Reporter or the Clerk's Office. Redaction Request due 11/1/2016. Redacted Transcript Deadline set for 11/14/2016. Release of Transcript Restriction set for 1/9/2017. (Ranz, Mary Ann) (Entered: 10/11/2016)</p>
10/20/2016	<a href="#">17</a>	ORDER as to Hitachi Automotive Systems Ltd extending the initial report to 12/2/16. Signed by Judge Michael R. Barrett on 10/20/16. (ba) (Entered: 10/20/2016)
01/13/2017		Notice of Final PSI Transmittal to Judicial Officer as to Hitachi Automotive Systems Ltd; case to proceed with sentencing 2/16/17. (ba) (Entered: 01/13/2017)
02/06/2017	<a href="#">18</a>	SENTENCING MEMORANDUM by USA as to Hitachi Automotive Systems Ltd (Stern, Carla) (Additional attachment(s) added on 2/7/2017: # <a href="#">1</a> Attachment A (SEALED)) (ba). (Entered: 02/06/2017)
02/07/2017	<a href="#">19</a>	SENTENCING MEMORANDUM by Hitachi Automotive Systems Ltd (Jacobs, Matthew) (Entered: 02/07/2017)
02/16/2017	<a href="#">20</a>	Minute Entry for proceedings held before Judge Michael R. Barrett: Sentencing held on 2/16/2017 for Hitachi Automotive Systems Ltd (1); Counsel present; interpreter present and sworn; Sentencing: Count 1 - 18 Months Probation with conditions; \$400.00 special assessment; \$55,480,000.00 fine. (Court Reporter: Maryann Maffia) (ba) (Entered: 02/16/2017)
02/17/2017	<a href="#">21</a>	JUDGMENT as to Hitachi Automotive Systems Ltd (1); Count 1 - 18 Months Probation with conditions; \$400.00 special assessment; \$55,480,000.00 fine. Signed by Judge Michael R. Barrett on 2/17/17. (ba) (Entered: 02/17/2017)
02/23/2017	<a href="#">23</a>	<p>TRANSCRIPT of Proceedings, Sentencing as to Hitachi Automotive Systems Ltd held on February 16, 2017, before Judge Michael R. Barrett. Court Reporter/Transcriber: Maryann T. Maffia, Telephone number 513-564-7677. Transcript may be viewed at the court public terminal or purchased through the Court Reporter/Transcriber before the deadline for Release of Transcript Restriction. After that date it may be obtained through PACER.</p> <p>NOTICE RE: REDACTION OF TRANSCRIPTS: Within 5 business days of this filing, each party shall inform the Court, by filing a Notice of Redaction, of the party's intent to redact personal data identifiers from the electronic transcript of the court proceeding. The policy is located on our website at <a href="http://www.ohsd.uscourts.gov">www.ohsd.uscourts.gov</a> (Forms - Electronic Availability of Transcripts). Please read this policy carefully.</p> <p>For a complete copy of a transcript, please contact the Court Reporter or the Clerk's Office. Redaction Request due 3/16/2017. Redacted Transcript Deadline set for</p>

		3/27/2017. Release of Transcript Restriction set for 5/24/2017. (Maffia, Maryann) (Entered: 02/23/2017)
02/28/2017	<a href="#">25</a>	TRANSCRIPT REQUEST by Hitachi Automotive Systems Ltd for proceedings held on February 16, 2017 before Judge Michael R. Barrett. (Jacobs, Matthew) (Entered: 02/28/2017)
03/06/2017	<a href="#">26</a>	ORDER granting <a href="#">25</a> Transcript Request filed by Hitachi Automotive Systems Ltd. Signed by Judge Michael R. Barrett on 3/6/17. (ba) (Entered: 03/06/2017)
03/24/2017	<a href="#">27</a>	Notice of Satisfaction of Judgment by Hitachi Automotive Systems Ltd (Hamilton, Bethany) (Entered: 03/24/2017)

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