

CLOSED, CASREF, ECF

**U.S. District Court
Southern District of New York (Foley Square)
CIVIL DOCKET FOR CASE #: 1:08-cv-07746-BSJ-DCF**

Mayor and City Council of Baltimore, Maryland v.
Citigroup, Inc et al
Assigned to: Judge Barbara S. Jones
Referred to: Magistrate Judge Debra C. Freeman
Related Case: [1:08-cv-07747-BSJ-DCF](#)
Cause: 15:1 Antitrust Litigation

Date Filed: 09/04/2008
Date Terminated: 01/26/2010
Jury Demand: Plaintiff
Nature of Suit: 410 Anti-Trust
Jurisdiction: Federal Question

Plaintiff

**Mayor and City Council of
Baltimore, Maryland**
*On behalf of themselves and all others
similarly situated*

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Date Filed	#	Docket Text
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09/04/2008	1	COMPLAINT against Citigroup, Inc, Citigroup Global Markets, Inc., UBS AG, UBS Securities LLC, UBS Financial Services, Inc., Merrill Lynch & Co, Inc, Morgan Stanley, Lehman Brothers Holdings Inc., Bank of America Corp., Wachovia Corporation, Wachovia Securities, LLC., Wachovia Capital Markets, LLC, The Goldman Sachs Group, Inc., JP Morgan Chase & Co., Royal Bank of Canada, Deutsche Bank, AG. (Filing Fee \$ 350.00, Receipt Number 662201) Document filed by Mayor and City Council of Baltimore, Maryland.(rdz) (Entered: 09/09/2008)
09/04/2008		SUMMONS ISSUED as to Citigroup, Inc, Citigroup Global Markets, Inc., UBS AG, UBS Securities LLC, UBS Financial Services, Inc., Merrill Lynch & Co, Inc, Morgan Stanley, Lehman Brothers Holdings Inc., Bank of America Corp., Wachovia Corporation, Wachovia Securities, LLC., Wachovia Capital Markets, LLC, The Goldman Sachs Group, Inc., JP Morgan Chase & Co., Royal Bank of Canada, Deutsche Bank, AG. (rdz) (Entered: 09/09/2008)
09/04/2008		Magistrate Judge Theodore H. Katz is so designated. (rdz) (Entered: 09/09/2008)
09/04/2008		Case Designated ECF. (rdz) (Entered: 09/09/2008)
09/16/2008	2	NOTICE OF INITIAL PRETRIAL CONFERENCE: Initial Conference set for 10/17/2008 at 03:00 PM in Courtroom 11B, 500 Pearl Street, New York, NY 10007 before Judge Denise L. Cote. (Signed by Judge Denise L. Cote on 9/16/2008) (jfe) (Entered: 09/16/2008)
09/17/2008	3	NOTICE of Service of Notice of Initial Pretrial Conference and Court Practices. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 09/17/2008)
09/18/2008	4	NOTICE of Bankruptcy. Document filed by Lehman Brothers Holdings Inc.. (Attachments: # 1 Exhibit A--Bankruptcy Petition)(Gaffey, Robert) (Entered: 09/18/2008)
09/18/2008	5	NOTICE OF APPEARANCE by Andrew Jay Frackman on behalf of Bank of America Corp. (Frackman, Andrew) (Entered: 09/18/2008)
09/18/2008	6	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Document filed by Bank of America Corp..(Frackman, Andrew) (Entered: 09/18/2008)
09/18/2008	7	NOTICE OF APPEARANCE by Jonathan Rosenberg on behalf of Bank of America Corp. (Rosenberg, Jonathan) (Entered: 09/18/2008)
09/18/2008	8	NOTICE OF APPEARANCE by Bradley Jay Butwin on behalf of Bank of America Corp. (Butwin, Bradley) (Entered: 09/18/2008)
09/23/2008	9	NOTICE OF APPEARANCE by Thomas C. Rice on behalf of JP Morgan Chase & Co. (Rice, Thomas) (Entered: 09/23/2008)
09/23/2008	10	NOTICE OF APPEARANCE by Jonathan K. Youngwood on behalf of JP Morgan Chase & Co. (Youngwood, Jonathan) (Entered: 09/23/2008)
09/23/2008	11	NOTICE OF APPEARANCE by Gregory Arthur Markel on behalf of Morgan Stanley (Markel, Gregory) (Entered: 09/23/2008)

09/23/2008	12	NOTICE OF APPEARANCE by Ronit Setton on behalf of Morgan Stanley (Setton, Ronit) (Entered: 09/23/2008)
09/29/2008	13	NOTICE OF APPEARANCE by Arthur S. Greenspan on behalf of Wachovia Corporation, Wachovia Securities, LLC., Wachovia Capital Markets, LLC (Attachments: # 1 Certificate of Service)(Greenspan, Arthur) (Entered: 09/29/2008)
09/29/2008	14	NOTICE OF APPEARANCE by Patricia C. O'Prey on behalf of Wachovia Corporation, Wachovia Securities, LLC., Wachovia Capital Markets, LLC (Attachments: # 1 Certificate of Service)(O'Prey, Patricia) (Entered: 09/29/2008)
10/02/2008	15	NOTICE OF APPEARANCE by Anastasia Angelova Angelova on behalf of Deutsche Bank, AG (Angelova, Anastasia) (Entered: 10/02/2008)
10/02/2008	16	NOTICE OF APPEARANCE by Leah Edmunds on behalf of Deutsche Bank, AG (Edmunds, Leah) (Entered: 10/02/2008)
10/02/2008	17	NOTICE OF APPEARANCE by Toby S Soli on behalf of Deutsche Bank, AG (Soli, Toby) (Entered: 10/02/2008)
10/02/2008	18	NOTICE OF APPEARANCE by Stephen L. Saxl on behalf of Deutsche Bank, AG (Saxl, Stephen) (Entered: 10/02/2008)
10/02/2008	19	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Document filed by Deutsche Bank, AG.(Saxl, Stephen) (Entered: 10/02/2008)
10/02/2008	25	NOTICE OF CASE REASSIGNMENT to Judge Barbara S. Jones. Judge Denise L. Cote is no longer assigned to the case. (laq) (Entered: 10/07/2008)
10/03/2008	20	NOTICE OF APPEARANCE by David Maxwell Rein on behalf of The Goldman Sachs Group, Inc. (Rein, David) (Entered: 10/03/2008)
10/03/2008	21	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by The Goldman Sachs Group, Inc..(Rein, David) (Entered: 10/03/2008)
10/03/2008	22	NOTICE OF APPEARANCE by Hillary Cherry Mintz on behalf of JP Morgan Chase & Co. (Mintz, Hillary) (Entered: 10/03/2008)
10/03/2008	23	AFFIDAVIT OF SERVICE of Notice of Appearance and Corporate Disclosure Statement served on All Parties and Counsel on Oct. 3. Service was made by Mail. Document filed by The Goldman Sachs Group, Inc.. (Rein, David) (Entered: 10/03/2008)
10/06/2008	24	STIPULATION AND ORDER; that the time for any defendants that have been served to answer or otherwise respond to the Class Action Complaint is extended without date, and the parties shall confer in due course concerning an appropriate schedule for responses and briefing of any motions to dismiss. SO ORDERED. (Signed by Judge Barbara S. Jones on 10/6/2008) (tve) (Entered: 10/06/2008)
10/07/2008		Mailed notice to the attorney(s) of record. (laq) (Entered: 10/07/2008)

10/07/2008	26	ORDER: The Court has referred this case to Magistrate Judge Katz for the Initial Pretrial Conference, originally scheduled by Judge Cote for Friday, 10/17/08 at 3:00pm. Plaintiff is directed to contact Judge Katz to determine when that conference will now occur. (Signed by Judge Barbara S. Jones on 10/6/08) (tro) (Entered: 10/07/2008)
10/07/2008	27	NOTICE OF APPEARANCE by Jay B. Kasner on behalf of Merrill Lynch & Co, Inc (Kasner, Jay) (Entered: 10/07/2008)
10/07/2008	28	NOTICE OF APPEARANCE by Shepard Goldfein on behalf of Merrill Lynch & Co, Inc (Goldfein, Shepard) (Entered: 10/07/2008)
10/07/2008	29	NOTICE OF APPEARANCE by Paul Madison Eckles on behalf of Merrill Lynch & Co, Inc (Eckles, Paul) (Entered: 10/07/2008)
10/07/2008	30	ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for General Pretrial (includes scheduling, discovery, non-dispositive pretrial motions, and settlement). Referred to Magistrate Judge Theodore H. Katz. (Signed by Judge Barbara S. Jones on 10/6/2008) (tve) (Entered: 10/07/2008)
10/08/2008	31	NOTICE OF APPEARANCE by Sean Miles Murphy on behalf of Royal Bank of Canada (Murphy, Sean) (Entered: 10/08/2008)
10/08/2008	32	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by Royal Bank of Canada.(Murphy, Sean) (Entered: 10/08/2008)
10/08/2008	33	NOTICE OF APPEARANCE by George S. Canellos on behalf of Royal Bank of Canada (Canellos, George) (Entered: 10/08/2008)
10/08/2008	34	CERTIFICATE OF SERVICE. Document filed by Royal Bank of Canada. (Pater, Joshua) (Entered: 10/08/2008)
10/13/2008	35	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Identifying No as Corporate Parent. Document filed by Wachovia Corporation. (Attachments: # 1 Certificate of Service)(O'Prey, Patricia) (Entered: 10/13/2008)
10/13/2008	36	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Identifying Wachovia Corporation and Prudential Financial Inc. as Corporate Parent. Document filed by Wachovia Securities, LLC.. (Attachments: # 1 Certificate of Service)(O'Prey, Patricia) (Entered: 10/13/2008)
10/13/2008	37	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Identifying Wachovia Corporation as Corporate Parent. Document filed by Wachovia Capital Markets, LLC. (Attachments: # 1 Certificate of Service)(O'Prey, Patricia) (Entered: 10/13/2008)
10/14/2008	51	NOTICE OF REASSIGNMENT OF A REFERRAL TO ANOTHER MAGISTRATE JUDGE. The referral in the above entitled action has been reassigned to Magistrate Judge Debra C. Freeman, for General Pretrial. Magistrate Judge Theodore H. Katz no longer referred to the case. (mbe) (mbe). (Entered: 10/24/2008)
10/15/2008	38	

		ORDER REFERRING CASE TO MAGISTRATE JUDGE. Order that case be referred to the Clerk of Court for assignment to a Magistrate Judge for General pretrial (includes scheduling, discovery, non-dispositive pretrial motions, and settlement). Referred to Magistrate Judge Debra C. Freeman (Signed by Judge Barbara S. Jones on 10/14/2008) (jpo) Modified on 11/18/2008 (jpo). (Entered: 10/15/2008)
10/15/2008	39	ORDER: Accordingly, Plaintiff is directed to contact Judge Freeman to determine when the Initial Pretrial Conference, originally scheduled by Judge Cote for Friday, October 17 at 3:00 p.m., will now occur. (Signed by Judge Barbara S. Jones on 10/15/2008) (jpo) (Entered: 10/15/2008)
10/16/2008	40	NOTICE OF APPEARANCE by Molly S. Boast on behalf of UBS AG, UBS Securities LLC, UBS Financial Services, Inc. (Boast, Molly) (Entered: 10/16/2008)
10/16/2008	41	NOTICE OF APPEARANCE by Donald W. Hawthorne on behalf of UBS AG, UBS Securities LLC, UBS Financial Services, Inc. (Hawthorne, Donald) (Entered: 10/16/2008)
10/16/2008	42	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Identifying UBS AG as Corporate Parent. Document filed by UBS AG, UBS Securities LLC, UBS Financial Services, Inc..(Boast, Molly) (Entered: 10/16/2008)
10/16/2008	43	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. No Corporate Parent. Document filed by JP Morgan Chase & Co.. (Attachments: # 1 Certificate of Service)(Rice, Thomas) (Entered: 10/16/2008)
10/20/2008	44	NOTICE OF APPEARANCE by Brad Scott Karp on behalf of Citigroup, Inc, Citigroup Global Markets, Inc. (Karp, Brad) (Entered: 10/20/2008)
10/20/2008	45	NOTICE OF APPEARANCE by Kenneth Anthony Gallo on behalf of Citigroup, Inc, Citigroup Global Markets, Inc. (Gallo, Kenneth) (Entered: 10/20/2008)
10/20/2008	46	NOTICE OF APPEARANCE by Charles Edward Davidow on behalf of Citigroup, Inc, Citigroup Global Markets, Inc. (Davidow, Charles) (Entered: 10/20/2008)
10/20/2008	47	NOTICE OF APPEARANCE by Andrew Corydon Finch on behalf of Citigroup, Inc, Citigroup Global Markets, Inc. (Finch, Andrew) (Entered: 10/20/2008)
10/20/2008	48	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. NO Corporate Parent. Document filed by Citigroup, Inc, Citigroup Global Markets, Inc..(Karp, Brad) (Entered: 10/20/2008)
10/21/2008	49	NOTICE OF APPEARANCE by Margaret Elizabeth Bartlett on behalf of The Goldman Sachs Group, Inc. (Bartlett, Margaret) (Entered: 10/21/2008)
10/22/2008	50	NOTICE OF APPEARANCE by Julia Marie Guaragna on behalf of The Goldman Sachs Group, Inc. (Guaragna, Julia) (Entered: 10/22/2008)
10/27/2008	52	STIPULATION AND ORDER, It is hereby stipulated and agreed that the time for defendants to answer or otherwise respond to the class action complaint

		shall be due by and including December 17, 2008. If defendants choose to respond to the class action complaint by filing one or more motions to dismiss, plaintiffs shall file any opposition by and including February 17, 2009. Defendants shall file any reply by and including March 19, 2009. (Signed by Magistrate Judge Debra C. Freeman on 10/27/08) (mme) (Entered: 10/27/2008)
10/28/2008	53	AFFIDAVIT OF SERVICE of Summons and Complaint served on Citigroup, Inc. on 9/11/08. Service was accepted by Cecilia Silfa, Paralegal. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	54	AFFIDAVIT OF SERVICE of Summons and Complaint served on Citigroup Global Markets, Inc. on 9/11/08. Service was accepted by Fern Strauss, Managing Paralegal. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	55	AFFIDAVIT OF SERVICE of Summons and Complaint served on UBS Securities, LLC on 9/15/08. Service was accepted by Cynthia M. Walshe, Director. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	56	AFFIDAVIT OF SERVICE of Summons and Complaint served on UBS Financial Services, Inc. on 9/10/08. Service was accepted by Tashana Constantine, Legal Administrative Assistant. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	57	AFFIDAVIT OF SERVICE of Summons and Complaint served on Merrill Lynch & Co., Inc. on 9/10/08. Service was accepted by Jenny Lee, Paralegal. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	58	AFFIDAVIT OF SERVICE of Summons and Complaint served on Morgan Stanley on 9/11/08. Service was accepted by Barbara Valente, Paralegal. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	59	AFFIDAVIT OF SERVICE of Summons and Complaint served on Bank of America on 9/16/08. Service was accepted by Alejandro Cordero, Banking Manager. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	60	AFFIDAVIT OF SERVICE of Summons and Complaint served on Wachovia Corporation on 9/16/08. Service was accepted by Elizabeth Gass, Paralegal. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	61	AFFIDAVIT OF SERVICE of Summons and Complaint served on Wachovia Securities, LLC on 9/11/08. Service was accepted by Tara Randle, Admin Assistant. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	62	AFFIDAVIT OF SERVICE of Summons and Complaint served on Wachovia Capital Markets, LLC on 9/16/08. Service was accepted by Elizabeth Gass,

		Paralegal. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	63	AFFIDAVIT OF SERVICE of Summons and Complaint served on The Goldman Sachs Group, Inc. on 9/10/08. Service was accepted by Joanne Cook, Vice President. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	64	AFFIDAVIT OF SERVICE of Summons and Complaint served on JP Morgan Chase & Co. on 9/10/08. Service was accepted by Linda A. Platone, Legal Specialist III. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
10/28/2008	65	AFFIDAVIT OF SERVICE of Summons and Complaint served on Deutsche Bank AG on 9/10/08. Service was accepted by Norma Jean Clark, authorized by appointment to accept service. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 10/28/2008)
12/11/2008	66	NOTICE of Substitution of Firm. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 12/11/2008)
12/11/2008	67	NOTICE of Change of Firm Affiliation. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 12/11/2008)
12/12/2008	68	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Identifying State Street Bank & Trust Company and Mitsubishi UFJ Financial Group, Inc. as Corporate Parent. Document filed by Morgan Stanley.(Markel, Gregory) Modified on 1/20/2009 (ama). (Entered: 12/12/2008)
01/09/2009	69	STIPULATION AND ORDER; that the time for Defendants to answer or otherwise respond to the Class Action Complaint shall be by and including 1/15/2009. If Defendants choose to respond to the Class Action Complaint by filing one or more Motions to Dismiss, Plaintiffs shall file any Opposition by and including 3/19/2009. Defendants shall file any Reply by and including 4/16/2009. SO ORDERED. (Signed by Magistrate Judge Debra C. Freeman on 1/9/2009) (tve) (Entered: 01/09/2009)
01/15/2009	70	RULE 7.1 CORPORATE DISCLOSURE STATEMENT. Identifying Bank of America Corporation as Corporate Parent. Document filed by Merrill Lynch & Co, Inc.(Kasner, Jay) (Entered: 01/15/2009)
01/15/2009	71	JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints</i> . Document filed by Citigroup, Inc, Citigroup Global Markets, Inc., UBS AG, UBS Securities LLC, UBS Financial Services, Inc., Merrill Lynch & Co, Inc, Morgan Stanley, Bank of America Corp., Wachovia Corporation, Wachovia Securities, LLC., Wachovia Capital Markets, LLC, The Goldman Sachs Group, Inc., JP Morgan Chase & Co., Royal Bank of Canada, Deutsche Bank, AG. (Youngwood, Jonathan) (Entered: 01/15/2009)
01/15/2009	72	DECLARATION of Jonathan K. Youngwood in Support re: 71 JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints</i> .. Document filed by JP Morgan Chase & Co.. (Attachments: # 1 Exhibit A-M, # 2 Exhibit N-Y) (Youngwood, Jonathan) (Entered: 01/15/2009)

01/15/2009	73	JOINT MEMORANDUM OF LAW in Support re: 71 JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints.</i> . Document filed by Citigroup, Inc, Citigroup Global Markets, Inc., UBS AG, UBS Securities LLC, UBS Financial Services, Inc., Merrill Lynch & Co, Inc, Morgan Stanley, Bank of America Corp., Wachovia Corporation, Wachovia Securities, LLC., Wachovia Capital Markets, LLC, The Goldman Sachs Group, Inc., JP Morgan Chase & Co., Royal Bank of Canada, Deutsche Bank, AG. (Youngwood, Jonathan) (Entered: 01/15/2009)
01/16/2009	74	NOTICE OF APPEARANCE by William Henry Wagener on behalf of The Goldman Sachs Group, Inc. (Wagener, William) (Entered: 01/16/2009)
03/18/2009	78	MOTION for Michael P. Lehmann to Appear Pro Hac Vice. Document filed by Mayor and City Council of Baltimore, Maryland.(dle) (Entered: 03/20/2009)
03/19/2009	75	MEMORANDUM OF LAW in Opposition re: 71 JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints.</i> . Document filed by Mayor and City Council of Baltimore, Maryland. (Subramanian, Arun) (Entered: 03/19/2009)
03/19/2009	76	DECLARATION of Arun S. Subramanian in Opposition re: 71 JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints.</i> . Document filed by Mayor and City Council of Baltimore, Maryland. (Attachments: # 1 Exhibit Exhibit A)(Subramanian, Arun) (Entered: 03/19/2009)
03/19/2009	77	RESPONSE in Opposition re: 71 JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints. Request for Judicial Notice.</i> Document filed by Mayor and City Council of Baltimore, Maryland. (Attachments: # 1 Exhibit Exhibit 1, # 2 Exhibit Exhibit 2, # 3 Exhibit Exhibit 3, # 4 Exhibit Exhibit 4, # 5 Exhibit Exhibit 5, # 6 Exhibit Exhibit 6)(Subramanian, Arun) (Entered: 03/19/2009)
03/25/2009	79	ORDER granting 78 Motion for Michael P. Lehmann to Appear Pro Hac Vice. (Signed by Judge Barbara S. Jones on 3/25/09) (cd) (Entered: 03/27/2009)
03/25/2009		Transmission to Attorney Admissions Clerk. Transmitted re: 79 Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. (cd) (Entered: 03/27/2009)
03/31/2009	80	NOTICE OF CHANGE OF ADDRESS by Steig Olson on behalf of Mayor and City Council of Baltimore, Maryland. New Address: HAUSFELD LLP, 11 Broadway, Suite 615, New York, NY, USA 10004, 212-278-0877. (Olson, Steig) (Entered: 03/31/2009)
03/31/2009	81	NOTICE OF CHANGE OF ADDRESS by Michael P. Lehmann on behalf of Mayor and City Council of Baltimore, Maryland. New Address: HAUSFELD LLP, 44 Montgomery, Suite 3400, San Francisco, CA, USA 94104, 415-633-1908. (Lehmann, Michael) (Entered: 03/31/2009)
04/03/2009		CASHIERS OFFICE REMARK on 78 Motion to Appear Pro Hac Vice in the amount of \$25.00, paid on 03/18/2009, Receipt Number 681928. (jd) (Entered: 04/03/2009)
04/16/2009	82	JOINT REPLY MEMORANDUM OF LAW in Support re: 71 JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints.</i> . Document filed by

		Citigroup, Inc, Citigroup Global Markets, Inc., UBS AG, UBS Securities LLC, UBS Financial Services, Inc., Merrill Lynch & Co, Inc, Morgan Stanley, Bank of America Corp., Wachovia Corporation, Wachovia Securities, LLC., Wachovia Capital Markets, LLC, The Goldman Sachs Group, Inc., JP Morgan Chase & Co., Royal Bank of Canada, Deutsche Bank, AG. (Youngwood, Jonathan) (Entered: 04/16/2009)
05/06/2009	83	NOTICE of Withdrawal of Appearance of Molly S. Boast as attorney for UBS AG, UBS Securities, LLC and UBS Financial Services, Inc.. Document filed by UBS AG, UBS Securities LLC, UBS Financial Services, Inc.. (Boast, Molly) (Entered: 05/06/2009)
05/11/2009	84	ENDORSED LETTER addressed to Judge Barbara S. Jones from Molly S. Boast dated 5/6/2009 re: request your permission to have my name removed from the court's docket and ECF electronic mailing distribution list. ENDORSEMENT: Application is granted. (Signed by Judge Barbara S. Jones on 5/7/2009) (jar) (Entered: 05/11/2009)
05/26/2009		***DELETED DOCUMENT. Deleted document number 85 motion. The document was incorrectly filed in this case. (dle) (Entered: 06/08/2009)
05/26/2009	86	MOTION for Margaret K. Aleks to Appear Pro Hac Vice. Document filed by Mayor and City Council of Baltimore, Maryland.(mbe) (Entered: 06/26/2009)
05/27/2009		Minute Entry for proceedings held before Magistrate Judge Debra C. Freeman: Conference held on 5/27/2009. Motion to stay denied Parties to submit joint proposed scheduling order by June 10, 2009. (mbe) (Entered: 06/11/2009)
06/23/2009	85	AMENDED ENDORSED LETTER addressed to Magistrate Judge Debra Freeman from Allen Steyer and Jonathan Youngwood dated 6/10/2009 re: As the Court instructed at the May 27, 2009 conference in the above-reference actions, plaintiffs' and defendants' counsel have met and conferred regarding initial disclosures and discovery. The parties present the following joint discovery proposal. Protective Order Plaintiffs and defendants have agreed that defendants will provide plaintiffs with a proposed protective order for their review by June 15, 2009. Fed. Rule Civ. Proc. 26(a) Initial Disclosures Plaintiffs and defendants have agreed that Rule 26(a) disclosures will be exchanged by the parties on July 10, 2009. Discussions Regarding Preliminary Issues Including Document Preservation. E-Discovery Issues and Fed. Rule Evid. 502 Plaintiffs and defendants have agreed that they will discuss the above issues by July 20, 2009. Initial Discovery Requests Plaintiffs and defendants agree that a first round of initial interrogatories and document requests will be served by all parties on July 24, 2009. Initial Discovery Responses Plaintiffs and defendants agree that responses to the first round of interrogatories and document requests should be served by August 24, 2009. Status Conference with Court Plaintiffs and defendants agree that, subject to the Court's schedule and convenience, a status conference before the Court should be set during the weeks of September 8 or 14, 2009. AMENDED ENDORSEMENT: The within proposed schedule is adopted. Counsel are directed to attend a status conference before the Court on September 15, 2009, at 11:00 am, in courtroom 17A, 500 Pearl Street. SO ORDERED. (Status Conference set for 9/15/2009 at 11:00 AM in Courtroom 17A, 500 Pearl Street, New York, NY 10007 before

		Magistrate Judge Ronald L. Ellis.) (Signed by Magistrate Judge Debra C. Freeman on 6/23/2009) (jmi) Modified on 6/29/2009 (jmi). (Entered: 06/23/2009)
07/02/2009	87	ORDER: Upon consideration of the Motion to Admit Margaret K. Aleks pro hac vice and the supporting documents attached thereto, it is hereby ordered that the Motion is GRANTED. (Signed by Judge Barbara S. Jones on 7/1/2009) (jfe) (Entered: 07/02/2009)
07/02/2009		Transmission to Attorney Admissions Clerk. Transmitted re: 87 Order on Motion to Appear Pro Hac Vice, to the Attorney Admissions Clerk for updating of Attorney Information. (jfe) (Entered: 07/02/2009)
10/02/2009	88	PROTECTIVE ORDER: Regarding procedures to be followed that shall govern the handling of confidential material. ENDORSEMENT: Any request to maintain the confidentiality of any evidence introduced at trial shall be made to the trial judge. (Signed by Magistrate Judge Debra C. Freeman on 10/2/09) (db) (Entered: 10/02/2009)
11/04/2009	89	ORDER: The Parties are directed to appear before this Court for argument on Defendant's pending Joint Motion to Dismiss the Class Action Complaints in the above captioned matter on 11/13/09 at 2:30 p.m. in Courtroom 17C at 500 Pearl Street, New York, NY, 10007. Set Deadlines/Hearing as to 71 JOINT MOTION to Dismiss <i>Dismiss the Class Action Complaints</i> :(Motion Hearing set for 11/13/2009 at 02:30 PM in Courtroom 17C, 500 Pearl Street, New York, NY 10007 before Judge Barbara S. Jones.) (Signed by Judge Barbara S. Jones on 11/4/09) (tro) (Entered: 11/04/2009)
11/10/2009	90	ORDER: In response to Plaintiffs' letter application, the hearing before this Court for argument on Defendants' pending Joint Motion to Dismiss the Class Action Complaints in the above captioned matter is rescheduled to November 24, 2009 at 2:30 p.m. in Courtroom 17C at 500 Pearl Street, New York, New York, 10007. (Signed by Judge Barbara S. Jones on 11/10/2009) (jpo) (Entered: 11/10/2009)
01/26/2010	91	ORDER: For the reasons set forth above, Defendants Motion to Dismiss both the Investor Complaint and the Issuer Complaint is GRANTED. Because no further issues remain to be decided, the Clerk of the Court is directed to close both of the above-captioned cases. (Signed by Judge Barbara S. Jones on 1/26/2010) (jfe) (Entered: 01/26/2010)
01/30/2010	92	CLERK'S JUDGMENT That for the reasons stated in the Court's Order dated January 26, 2010, defendants' motion to dismiss both the Investor Complaint and the Issuer Complaint is granted; accordingly, both of the above-captioned cases are closed. (Signed by J. Michael McMahon, clerk on 1/30/10) (Attachments: # 1 notice of right to appeal)(ml) (Entered: 01/30/2010)
03/01/2010	93	NOTICE OF APPEAL from 92 Clerk's Judgment,. Document filed by Mayor and City Council of Baltimore, Maryland. Filing fee \$ 455.00, receipt number E 895586. (nd) (Entered: 03/01/2010)
03/01/2010		Transmission of Notice of Appeal to the District Judge re: 93 Notice of Appeal. (nd) (Entered: 03/01/2010)

03/01/2010	Transmission of Notice of Appeal and Certified Copy of Docket Sheet to US Court of Appeals re: 93 Notice of Appeal. (nd) (Entered: 03/01/2010)
03/01/2010	<p>Appeal Record Sent to USCA (Electronic File). Certified Indexed record on Appeal Electronic Files for 1 Complaint,, filed by Mayor and City Council of Baltimore, Maryland, 22 Notice of Appearance filed by JP Morgan Chase & Co., 55 Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, 3 Notice (Other) filed by Mayor and City Council of Baltimore, Maryland, 59 Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, 76 Declaration in Opposition to Motion, filed by Mayor and City Council of Baltimore, Maryland, 84 Endorsed Letter, 34 Certificate of Service Other filed by Royal Bank of Canada, 90 Order, Set Deadlines/Hearings,, 88 Protective Order, 37 Rule 7.1 Corporate Disclosure Statement filed by Wachovia Capital Markets, LLC, 5 Notice of Appearance filed by Bank of America Corp., 78 MOTION for Michael P. Lehmann to Appear Pro Hac Vice. filed by Mayor and City Council of Baltimore, Maryland, 63 Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, 80 Notice of Change of Address filed by Mayor and City Council of Baltimore, Maryland, 69 Stipulation and Order, Set Deadlines,, 48 Rule 7.1 Corporate Disclosure Statement filed by Citigroup, Inc, Citigroup Global Markets, Inc., 52 Stipulation and Order, Set Deadlines,, 56 Affidavit of Service Other, filed by Mayor and City Council of Baltimore, Maryland, 54 Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, 57 Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, 23 Affidavit of Service Other filed by The Goldman Sachs Group, Inc., 91 Order on Motion to Dismiss, 38 Order Referring Case to Magistrate Judge, 24 Stipulation and Order, 9 Notice of Appearance filed by JP Morgan Chase & Co., 64 Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, 28 Notice of Appearance filed by Merrill Lynch & Co, Inc, 16 Notice of Appearance filed by Deutsche Bank, AG, 92 Clerk's Judgment, 83 Notice (Other) filed by UBS Financial Services, Inc., UBS Securities LLC, UBS AG, 35 Rule 7.1 Corporate Disclosure Statement filed by Wachovia Corporation, 8 Notice of Appearance filed by Bank of America Corp., 74 Notice of Appearance filed by The Goldman Sachs Group, Inc., 30 Order Referring Case to Magistrate Judge, 15 Notice of Appearance filed by Deutsche Bank, AG, 40 Notice of Appearance filed by UBS Financial Services, Inc., UBS Securities LLC, UBS AG, 47 Notice of Appearance filed by Citigroup, Inc, Citigroup Global Markets, Inc., 10 Notice of Appearance filed by JP Morgan Chase & Co., 75 Memorandum of Law in Opposition to Motion filed by Mayor and City Council of Baltimore, Maryland, 49 Notice of Appearance filed by The Goldman Sachs Group, Inc., 36 Rule 7.1 Corporate Disclosure Statement filed by Wachovia Securities, LLC., 85 Endorsed Letter, Set Hearings,,,,,,,,,,,,, 73 Memorandum of Law in Support of Motion, filed by Wachovia Capital Markets, LLC, Morgan Stanley, Citigroup, Inc, The Goldman Sachs Group, Inc., UBS Financial Services, Inc., JP Morgan Chase & Co., Deutsche Bank, AG, Bank of America Corp., Royal Bank of Canada, UBS AG, UBS Securities LLC, Wachovia Corporation, Wachovia Securities, LLC., Citigroup Global Markets, Inc., Merrill Lynch & Co, Inc, 29 Notice of Appearance filed by Merrill Lynch & Co, Inc, 79 Order on Motion to Appear Pro Hac Vice, 14 Notice of Appearance filed by Wachovia Capital Markets,</p>

LLC, Wachovia Corporation, Wachovia Securities, LLC., [93](#) Notice of Appeal filed by Mayor and City Council of Baltimore, Maryland, [51](#) Notice of Reassignment of Referral to Magistrate Judge, [58](#) Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, [12](#) Notice of Appearance filed by Morgan Stanley, [82](#) Reply Memorandum of Law in Support of Motion,, filed by Wachovia Capital Markets, LLC, Morgan Stanley, Citigroup, Inc, The Goldman Sachs Group, Inc., UBS Financial Services, Inc., JP Morgan Chase & Co., Deutsche Bank, AG, Bank of America Corp., Royal Bank of Canada, UBS AG, Wachovia Corporation, UBS Securities LLC, Wachovia Securities, LLC., Citigroup Global Markets, Inc., Merrill Lynch & Co, Inc, [27](#) Notice of Appearance filed by Merrill Lynch & Co, Inc, [68](#) Rule 7.1 Corporate Disclosure Statement filed by Morgan Stanley, [53](#) Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, [67](#) Notice (Other) filed by Mayor and City Council of Baltimore, Maryland, [13](#) Notice of Appearance filed by Wachovia Capital Markets, LLC, Wachovia Corporation, Wachovia Securities, LLC., [4](#) Notice (Other) filed by Lehman Brothers Holdings Inc., [50](#) Notice of Appearance filed by The Goldman Sachs Group, Inc., [11](#) Notice of Appearance filed by Morgan Stanley, [60](#) Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, [25](#) Notice of Case Assignment/Reassignment, [89](#) Order, Set Motion and R&R Deadlines/Hearings,,,, [18](#) Notice of Appearance filed by Deutsche Bank, AG, [42](#) Rule 7.1 Corporate Disclosure Statement filed by UBS Financial Services, Inc., UBS AG, UBS Securities LLC, [81](#) Notice of Change of Address filed by Mayor and City Council of Baltimore, Maryland, [65](#) Affidavit of Service Other, filed by Mayor and City Council of Baltimore, Maryland, [71](#) JOINT MOTION to Dismiss *Dismiss the Class Action Complaints*. filed by Wachovia Capital Markets, LLC, Morgan Stanley, Citigroup, Inc, The Goldman Sachs Group, Inc., UBS Financial Services, Inc., JP Morgan Chase & Co., Deutsche Bank, AG, Bank of America Corp., Royal Bank of Canada, UBS AG, Wachovia Corporation, UBS Securities LLC, Wachovia Securities, LLC., Citigroup Global Markets, Inc., Merrill Lynch & Co, Inc, [43](#) Rule 7.1 Corporate Disclosure Statement filed by JP Morgan Chase & Co., [31](#) Notice of Appearance filed by Royal Bank of Canada, [87](#) Order on Motion to Appear Pro Hac Vice, [45](#) Notice of Appearance filed by Citigroup, Inc, Citigroup Global Markets, Inc., [46](#) Notice of Appearance filed by Citigroup, Inc, Citigroup Global Markets, Inc., [33](#) Notice of Appearance filed by Royal Bank of Canada, [41](#) Notice of Appearance filed by UBS Financial Services, Inc., UBS AG, UBS Securities LLC, [70](#) Rule 7.1 Corporate Disclosure Statement filed by Merrill Lynch & Co, Inc, [86](#) MOTION for Margaret K. Aleks to Appear Pro Hac Vice. filed by Mayor and City Council of Baltimore, Maryland, [17](#) Notice of Appearance filed by Deutsche Bank, AG, [66](#) Notice (Other) filed by Mayor and City Council of Baltimore, Maryland, [77](#) Response in Opposition to Motion, filed by Mayor and City Council of Baltimore, Maryland, [6](#) Rule 7.1 Corporate Disclosure Statement filed by Bank of America Corp., [62](#) Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, [20](#) Notice of Appearance filed by The Goldman Sachs Group, Inc., [2](#) Order for Initial Pretrial Conference, [32](#) Rule 7.1 Corporate Disclosure Statement filed by Royal Bank of Canada, [44](#) Notice of Appearance filed by Citigroup, Inc, Citigroup Global Markets, Inc., [72](#) Declaration in Support of Motion filed by JP Morgan Chase &

		Co., 19 Rule 7.1 Corporate Disclosure Statement filed by Deutsche Bank, AG, 61 Affidavit of Service Other filed by Mayor and City Council of Baltimore, Maryland, 21 Rule 7.1 Corporate Disclosure Statement filed by The Goldman Sachs Group, Inc., 39 Order, 7 Notice of Appearance filed by Bank of America Corp., 26 Order, were transmitted to the U.S. Court of Appeals. (nd) (Entered: 03/01/2010)
07/12/2010	94	STIPULATION ORDER: It is hereby Stipulated and agreed that the following documents shall be added to the record for purposes of appeal: 1. Transcript of the oral argument held on November 24, 2009 before the Honorable Barbara S. Jones. 2. Defendants' December 4, 2009 letter to the Honorable Barbara S. Jones and the exhibits attached thereto. 3. Plaintiffs' December 10, 2009 letter to the Honorable Barbara S. Jones. 4. Defendants' December 18, 2009 letter to the Honorable Barbara S. Jones and the exhibits attached thereto. The documents described in this Stipulation will be held by Attorneys of record until requested by the United States Court of Appeals for the Second Circuit. (Signed by Judge Barbara S. Jones on 7/9/2010) (jfe) (Entered: 07/12/2010)
06/21/2011	95	NOTICE of Withdrawal. Document filed by Mayor and City Council of Baltimore, Maryland. (Olson, Steig) (Entered: 06/21/2011)
07/09/2012	96	NOTICE OF CHANGE OF ADDRESS by Donald W. Hawthorne on behalf of UBS AG, UBS Financial Services, Inc., UBS Securities LLC. New Address: Axinn, Veltrop & Harkrider, 114W 47th Street, New York, New York, United States 10036, 212-261-5665. (Hawthorne, Donald) (Entered: 07/09/2012)
03/26/2013	97	MANDATE of USCA (Certified Copy) as to 93 Notice of Appeal filed by Mayor and City Council of Baltimore, Maryland USCA Case Number 10-0722 (L); 10-0867(Con). It is Hereby Ordered, Adjudged and Decreed that the judgment of the District Court is AFFIRMED in accordance with the opinion of this court. Catherine O'Hagan Wolfe, Clerk USCA for the Second Circuit. Issued As Mandate: 3/26/2013. (tp) (Entered: 03/26/2013)
03/26/2013		Transmission of USCA Mandate/Order to the District Judge re: 97 USCA Mandate. (tp) (Entered: 03/26/2013)