

## Exhibit D

**ALLIANCE/GREAT LAKES SETTLEMENT:  
NOTICE OF SETTLEMENT DISTRIBUTION  
AND CLAIM FORM INSTRUCTIONS**

TO: ALL INDIVIDUALS OR ENTITIES WHO PURCHASED READY-MIX CONCRETE FROM JANUARY 1, 2006 THROUGH DECEMBER 31, 2009 DIRECTLY FROM THE HARTLEY, LAKE PARK, SANBORN, SIBLEY, OR SPENCER READY-MIX CONCRETE PLANTS THAT ALLIANCE CONCRETE, INC. OWNED BEFORE JANUARY 14, 2008 AND THAT GCC ALLIANCE CONCRETE HAS OWNED SINCE THAT DATE, OR DIRECTLY FROM GREAT LAKES CONCRETE INC.'S OCHEYDEN, MILFORD, SPENCER, OR SPIRIT LAKE PLANTS.

**ON NOVEMBER 1, 2011, THE COURT APPROVED THE SETTLEMENT ON BEHALF OF CLASS MEMBERS IN THIS CASE. YOU MUST NOW COMPLETE AND RETURN A CLAIM FORM IN ORDER TO BE ELIGIBLE TO RECEIVE SETTLEMENT MONEY.**

You may be a Settlement Class Member entitled to share in the \$5,121,412 in Settlement Funds recovered in this case if:

1. Between January 1, 2006 through December 31, 2009, you made purchases of Ready-Mix Concrete directly from any of the following:
  - a. Alliance's Hartley plant;
  - b. Alliance's Lake Park plant;
  - c. Alliance's Sanborn plant,
  - d. Alliance's Sibley plant;
  - e. Alliance's Spencer plant;
  - f. Great Lakes' Ocheyden plant;
  - g. Great Lakes' Milford plant
  - h. Great Lakes Spencer plant; or
  - i. Great Lakes' Spirit Valley plant; and
2. You are not one of the Defendants; their co-conspirators; or their respective parents, subsidiaries, or affiliates or a federal government entity; and
3. You did not request to be excluded in response to the Court's Notice of Class Action Settlement and Hearing.

**All Settlement Class Members who wish to share in any Settlement Funds recovered in this lawsuit to date MUST submit a Claim Form postmarked no later than [REDACTED].**

**DEFINITIONS**

The following definitions are used throughout this Notice and the Claim Form:

**"Alliance"** means, for purposes of this Notice and Claim Form, GCC Alliance Concrete, Inc., Alliance Concrete, Inc., Joe's Ready Mix, Inc. and Russell's Ready Mix, Inc.

**"Claims Administrator"** means A.B. Data, the company hired, with Court approval, to assist with administration of the Settlement Funds, processing of Claim Forms, and distribution of Settlement Funds to Settlement Class Members. The address of the Claims Administrator is:

Iowa Ready-Mixed Concrete Antitrust Litigation  
ALLIANCE/GREAT LAKES SETTLEMENT  
Claims Administrator  
c/o A.B. Data, Ltd.  
PO Box 170500  
Milwaukee, WI 53217-8091

If you have questions about the Claim Form, you can contact the Claims Administrator by mail at the above address, by phone at 866-540-4949, or by email at [info@IowaConcreteAntitrustSettlement.com](mailto:info@IowaConcreteAntitrustSettlement.com).

**“Claimant”** means a person or entity that has submitted a Claim Form seeking a distribution from the Settlement Funds.

**“Class Period”** means the time period from and including January 1, 2006 through and including December 31, 2009.

**“Defendants”** collectively refers to Great Lakes Concrete, Inc., Kent Robert Stewart, GCC Alliance Concrete, Inc., Steven Keith VandeBrake, and VS Holding Company, f/k/a Alliance Concrete, Inc.

**“Great Lakes”** refers to Great Lakes Concrete, Inc.

**“Net Settlement Funds”** means the amount of the Settlement Funds after adjustment for the payment of Court-approved litigation expenses, costs of settlement administration, attorneys’ fees, and class representative incentive awards.

**“Qualifying Claim”** means a claim by a Settlement Class Member for a distribution from the Settlement Funds that is supported by a properly completed and timely submitted Claim Form and which confirms one or more Qualifying Purchases by the Settlement Class Member.

**“Qualifying Plant(s)”** means Alliance’s Hartley, Lake Park, Sanborn, Sibley, or Spencer plants or from Great Lakes’ Ocheyden, Milford, Spencer, or Spirit Lake plants.

**“Qualifying Purchase”** means a direct purchase of Ready-Mixed Concrete from a Qualifying Plant by a Settlement Class Member, which was delivered from Alliance’s Hartley, Lake Park, Sanborn, Sibley, or Spencer plants or from Great Lakes’ Ocheyden, Milford, Spencer, or Spirit Lake plants, at any time from and including January 1, 2006 through December 31, 2009, for which a Qualifying Claim has been submitted.

**“Ready-Mixed Concrete”** means, for purposes of determining the amount of your Qualifying Purchases, the materials comprising the concrete mix and regular delivery only. It does not include other materials or products identified on invoices with a separate line item (such as separately charged admixtures, additives such as fiber mesh, costs of building materials, concrete blocks, precast concrete products, equipment/tools, expansion joints, foam/Styrofoam, concrete forms, hardware, plastic, rebar, steel fiber, wire mesh, sealants, and test cylinders); and it does not include services for which an extra charge is assessed (such as special delivery charges, demurrage charges, truck/equipment rental charges, minimum load charges, overtime charges, same-day-service charges, truck cleanup charges, weekend/holiday/after-hours service charges, small load or weekend delivery charges and winter charges).

**“Settlement Class”** means all persons or entities who purchased Ready-Mix Concrete from January 1, 2006 through December 31, 2009 directly from the Hartley, Lake Park, Sanborn, Sibley, or Spencer plants that Alliance Concrete, Inc. owned before January 14, 2008 and that GCC Alliance has owned since that date (for convenience the “Alliance Plants”) or Great Lakes’ Ocheyden, Milford, Spencer, or Spirit Lake plants (the “Great Lakes Plants”), but excluding federal government entities and the Defendants (and the Defendants’ co-conspirators, respective parents, subsidiaries, and affiliates).

**IMPORTANT NOTE:** Your membership in the Settlement Class is limited to those Settlements for which you have not requested exclusion. Any person or entity that requested exclusion from the Settlements is excluded from the Settlement Class for purposes of the Settlement from which exclusion was requested.

**“Settlement Class Member”** means a person or entity that is included in the Settlement Class as defined above.

**“Settlement Funds”** means the \$5,121,412 in payments from the Settlement, plus any accrued interest.

**“Settlement”** means the Settlement Agreement with the Defendants listed above.

#### **WHY DID I RECEIVE THIS NOTICE AND A CLAIM FORM?**

This Notice and the Claim Form have been sent to you because the Defendants’ records show that you purchased Ready-Mix Concrete directly from at least one of the plants identified above at any time from and including January 1, 2006 through and including December 31, 2009. You therefore may be a Settlement Class Member entitled to submit a claim for distributions from the Settlement Funds.

This Notice and the Claim Form explain how the Settlement Funds will be distributed and tells you what you must do in order to request a share of the Settlement Funds.

#### **ELIGIBILITY FOR PAYMENTS**

You are eligible to receive a portion of the distribution of the Settlement Funds if:

1. You are a Settlement Class Member; and
2. You submit a Qualifying Claim confirming one or more Qualifying Purchases.

**HOW MUCH WILL I RECEIVE FROM THE SETTLEMENTS?**

If you are eligible for payments, the amount you will receive from the Settlement Funds will depend on (1) the total amount of your Qualifying Purchases; (2) the total amount of other Settlement Class Members' Qualifying Purchases; and (3) the amount of litigation expenses, attorneys' fees, and class representative incentive awards approved by the Court.

Before the amount of payments for Qualifying Claims is calculated, the Net Settlement Funds will be determined by deducting any Court-approved costs of litigation, costs of settlement administration, attorneys' fees, and service fees for the Plaintiff Class representatives. The Net Settlement Funds will then be distributed to Settlement Class Members who submitted Qualifying Claims on a *pro rata* basis according to the respective amount of their Qualifying Purchases.

Because the *pro rata* amount you will receive depends on the amounts of other Settlement Class Members' Qualifying Purchases, it is not possible at this time to determine how much you will receive. In general, your recovery will be calculated as follows:

$$\frac{\text{Amount of Your Qualifying Purchases}}{\text{Total Amount of All Qualifying Purchases}} = \text{Your Pro Rata Percentage of Net Settlement Funds}$$

**HOW ARE MY QUALIFYING PURCHASES DETERMINED?**

The Amount of Qualifying Purchases by Settlement Class Members will be determined by the Claims Administrator as follows:

- **For direct purchases from all plants EXCEPT purchases from plants operated by Joe's Ready-Mix and Russell's Ready-Mix in 2006, prior to their purchase by Alliance:** The amount of your Qualifying Purchases from the Qualifying Plants other than purchases from plants operated by Joe's Ready-Mix ("Joe's") and Russell's Ready-Mix ("Russell's") in 2006, as determined from records provided by the Defendants, has been stated on your Claim Form included with this Notice. You may accept these amounts as Qualifying Purchases by checking an appropriate box on the Claim Form.

If you believe that the actual amount of your Qualifying Purchases (other than purchases from plants operated by Joe's and Russell's in 2006) is higher than the amount stated on your Claim Form, you may state on the Claim Form the amount of Qualifying Purchases that you believe is correct, but you must submit documentation to support the higher amount. The Claims Administrator will review the documentation submitted by you and determine whether the amount of your Qualifying Purchases should be the original amount, your proposed higher amount, or some different amount.

- **Purchases from plants operated by Joe's Ready-Mix and Russell's Ready-Mix in 2006, prior to their purchase by Alliance:** The electronic sales data indicating your purchases from all Joe's and Russell's plants in 2006 is available, but this sales data cannot be separated on a plant-by-plant basis. Therefore, the Claim Form provides a statement of all of your 2006 purchases from Joe's and Russell's plant according to the electronic sales data. If you wish to make a claim for Settlement benefits based upon your purchases from Joe's and Russell's in 2006, you must state the percentage of your total purchases from Joe's plants in Sanborn and Sibley, and the Russell's plant in Hartley and be prepared to submit documentation if requested by the Claims Administrator to support your claim.

**DETERMINATIONS BY THE CLAIMS ADMINISTRATOR**

The Claims Administrator will be solely responsible for:

1. Issuing Notices and Claim Forms to Settlement Class Members;
2. Receiving and processing Claim Forms returned by Claimants;
3. Determining whether a Claimant is a Settlement Class Member;
4. Determining whether a Claim Form states one or more Qualifying Purchases;
5. Determining the amount of Qualifying Purchases for each Settlement Class Member submitting a Qualifying Claim;
6. Determining the *pro rata* percentage of Net Settlement Funds to be distributed to each Settlement Class Member submitting a Qualifying Claim; and

7. Issuing distribution payments from the Net Settlement Funds.

The Claims Administrator shall also provide information and assistance to Settlement Class Members preparing and submitting Claim Forms and shall maintain a website with information, documents, and forms related to the Settlements and distribution of the Settlement Funds.

SEE BELOW FOR THE GENERAL INSTRUCTIONS FOR SUBMISSION OF A CLAIM FORM

**GENERAL INSTRUCTIONS FOR SUBMISSION OF A CLAIM FORM**

In order to make a claim, please fill out the Claim Form included with this Notice. Read the Claim Form carefully, complete all required portions of the Claim Form fully and accurately, sign the Claim Form where indicated, and return the Claim Form to the Claims Administrator by the deadline.

1. **Deadline for Submission of Claim Form:** Each Claim Form must be signed and verified by the Claimant or a person authorized to act on behalf of the Claimant and must be postmarked no later than [REDACTED].
2. **Address:** Claim Forms must be sent to the following address by United States First-Class Mail or United States Certified Mail:

IOWA READY-MIXED CONCRETE ANTITRUST LITIGATION  
ALLIANCE/GREAT LAKES SETTLEMENT  
CLAIMS ADMINISTRATOR  
C/O A.B. DATA, LTD.  
PO BOX 170500  
MILWAUKEE, WI 53217-8042

**DO NOT SEND YOUR CLAIM FORM TO THE COURT OR TO ANY OF THE PARTIES OR THEIR COUNSEL.**

3. **Confirmation of Receipt of Claim Form:** You will not automatically be notified that the Claims Administrator has received your Claim Form. If you wish to have confirmation that your Claim Form has been received, send it by Certified Mail, Return Receipt requested.
4. **Photocopies of Claim Form:** A photocopy of the Claim Form may be submitted. Other forms, or altered versions of the Claim Form, will not be accepted. Blank Claim Forms may be obtained from the Claims Administrator or downloaded from the website at [IowaConcreteAntitrustSettlement.com](http://IowaConcreteAntitrustSettlement.com).
5. **Completion and Support of Claim:** Please type or neatly print all requested information. Failure to complete all parts of the Claim Form may result in denial of the claim, may delay processing, or may otherwise adversely affect the claim. Any supporting documentation should be submitted as legible copies—do not send originals, but maintain them in your records for additional verification or clarification if needed. All information submitted in a Claim Form is subject to further inquiry and verification. The Claims Administrator may ask you to provide additional supporting information or documentation. Failure to provide such requested information or documentation might adversely affect, or result in denial of, your claim.
6. **Claims of Separate Entities:** Each person, corporation, trust, or other business entity making a claim must submit its claim on a separate Claim Form. Additional blank Claim Forms may be obtained from the Claims Administrator or downloaded from the website at [IowaConcreteAntitrustSettlement.com](http://IowaConcreteAntitrustSettlement.com).
7. **Taxpayer Identification Number:** A Claim Form is not complete without the federal taxpayer identification number of the Claimant.
8. **Assistance:** If you have questions about your claim, you may contact the Claims Administrator at the above address, by telephone at 866-540-4949, or by email at [info@IowaConcreteAntitrustSettlement.com](mailto:info@IowaConcreteAntitrustSettlement.com).

**PLEASE NOTE: DO NOT CONTACT THE COURT FOR ASSISTANCE.**

9. **Keep a Copy:** You should keep a copy of your completed Claim Form for your records. You should also retain all of your documents and records relating to direct purchases of ready-mix concrete from the Defendants during the Class Period.

**MUST BE POSTMARKED NO  
LATER THAN [REDACTED], 2012**

**THE UNITED STATES DISTRICT COURT  
FOR THE NORTHERN DISTRICT OF IOWA**  
*In re: Iowa Ready-Mix Concrete Antitrust Litigation*  
Case No. 5:10-cv-04038-MWB



**ALLIANCE/GREAT LAKES CLAIM FORM**

In order to be considered for participation in the settlement, you must complete and sign this Claim Form and return it to the Claims Administrator at the address below, with any necessary documentation, no later than [REDACTED], 2012.

Our records indicate the name and address below for you or your company:

[3 of 9 BARCODE of Notice ID] [Numeric version of Notice ID]

[NAME]  
[C/O or ATTN]  
[ADDRESS 2]  
[ADDRESS 1]  
[CITY], [STATE] [ZIP]

If any of the above information is incorrect, please complete Section I: Identity of Claimant; all claimants MUST complete Section II: Contact Person.:

**THIS CLAIM FORM MUST BE SENT TO THE FOLLOWING ADDRESS BY UNITED STATES FIRST-CLASS MAIL OR UNITED STATES CERTIFIED MAIL AND MUST BE POSTMARKED NO LATER THAN [REDACTED], 2012:**

IOWA READY-MIX CONCRETE ANTITRUST LITIGATION  
ALLIANCE/GREAT LAKES SETTLEMENT  
C/O A.B. DATA, LTD., CLAIMS ADMINISTRATOR  
PO BOX 170500  
MILWAUKEE, WI 53217-8042

A Claim Form received by the Claims Administrator shall be deemed to have been submitted when posted if it is mailed by [REDACTED], 2012, a postmark is indicated on the envelope, and it is mailed and addressed in accordance with the instructions. In all other cases, the Claim Form shall be deemed to have been submitted when actually received by the Claims Administrator.

You should be aware that it will take a significant amount of time to process all of the Claim Forms. This work will be completed as promptly as time permits, given the need to review each Claim Form. Thank you for your patience.

**CLAIM FORM**

**I. IDENTITY OF CLAIMANT:** If the name or address pre-printed on this Claim Form is not accurate, please complete the following section. **Correspondence and any distribution you may recover will be directed to you at your mailing address. You MUST notify the Claims Administrator promptly if your address changes after you have submitted this Claim Form.**

Last Name	First Name	
Address Line 1		
Address Line 2 (If Applicable)		
City	State	Zip Code
Country		

Claimant is a (Check one):

- Corporation  
  Individual  
  Trustee in Bankruptcy  
  Partnership  
  Other (specify and provide the name and address of the person or entity on whose behalf you are acting here): \_\_\_\_\_

**II. CONTACT PERSON:** Indicate below the person to be contacted regarding this claim; all contact information should be provided as failure to provide may result in delays in the processing of your claim.

Last Name	First Name
Telephone Number (Day) (    )    -	Telephone Number (Night) (    )    -
Email Address	

If the address for the contact person is different from the claimant's address stated above, provide the contact person's address:

Address Line 1		
Address Line 2 (If Applicable)		
City	State	Zip Code

### III. PURCHASES OF READY-MIXED CONCRETE

The following charts are used to identify your purchases of Ready-Mixed Concrete<sup>1</sup> from the “Alliance/Great Lakes Defendants” during the Class Period. The purchases of Ready-Mixed Concrete that are used to determine your eligibility for benefits from the Alliance/Great Lakes Settlement are those occurring from and including January 1, 2006 through and including December 31, 2009, which were directly from the Hartley, Lake Park, Sanborn, Sibley, or Spencer plants that Alliance Concrete, Inc. owned before January 14, 2008 and that GCC Alliance has owned since that date (the “Alliance Plants”), or directly from Great Lakes’ Ocheyden, Milford, Spencer, or Spirit Lake plants (the “Great Lakes Plants”).

As set forth in the Definitions section in the Notice of Settlement Distribution (the “Notice”), for purposes of determining the amount of your Qualifying Purchases, charges for Ready-Mixed Concrete include those charges for the materials comprising the concrete mix and regular delivery only. Charges that are located on invoices as a separate line item (such as separately charged admixtures, additives such as fiber mesh, and other delivery fees like small load or weekend delivery charges) are not included. **Qualifying Purchases of Ready Mix Concrete also do not include the following extra service or product charges:** (Service Charges) special delivery charges, demurrage charges, hourly charges, minimum load charges, overtime, plant charges, same-day-service charges, truck cleanup charges, weekend/holiday/after-hours charges, and winter charges; (Product Charges) truck/equipment rental costs, costs of building materials, concrete blocks, precast concrete products, equipment/tools, expansion joints, foam/Styrofoam, concrete forms, hardware, plastic, rebar, steel fiber, wire mesh, sealants, and test cylinders.

**A. CHART 1—KNOWN QUALIFYING PURCHASES FROM THE ALLIANCE PLANTS:** This chart states the amount of your known Qualifying Purchases (as defined in the Notice) from the Alliance Great Lakes Plants from 2007 through 2009.

- *If you agree* with the amount indicated in Column 1, check the box in Column 2 next to that amount and leave Column 3 blank.
- *If you disagree* with the amount in Column 1, provide what you believe to be the correct amount of Qualifying Purchases, based on your records, in Column 3 **and submit documentation along with this Claim Form to support the amount of Qualifying Purchases you are claiming in Column 3.**

**Chart 1: Purchases from Alliance Plants 2007 through 2009**

COLUMN 1	COLUMN 2	COLUMN 3
Qualifying Purchases during 2007 through 2009 according to Alliance’s records	Check below if you agree with the amount in Column 1	<b>IF YOU DISAGREE WITH AN AMOUNT IN COLUMN 1, indicate the amount of your Qualifying Purchases based on your records (otherwise, leave this column blank) and ATTACH DOCUMENTATION to support your claimed amount</b>
\$ <b>[INSERT AMOUNT]</b>		

**IMPORTANT:** If you agree with the amount in Column 1 above, you do not have to provide any documentation of these purchases along with your completed and signed Claim Form. **If you have disagreed with the amount in Column 1 above you MUST submit documentation for your claimed purchases in Column 3 or your claimed amount will not be considered by the Claims Administrator.**

<sup>1</sup> All capitalized terms not otherwise defined in this Claim Form shall have the meaning provided in the Notice of Settlement Distribution.



**B. CHART 2—PURCHASES FROM CERTAIN “JOE’S” AND “RUSSELL’S” PLANTS DURING 2006.** The Settlement includes purchases from certain plants operated by Joe’s Ready-Mix and Russell’s Ready-Mix in 2006, prior to their purchase by Alliance. Only 2006 purchases from the Joe’s plants in Sanborn and Sibley, and the Russell’s plant in Hartley, are Qualifying Purchases under the Settlement.

**NOTE:** Purchases from other Joe’s and Russell’s plants may be included in different Settlements in this case, but should not be included on this Claim Form.

The electronic sales data indicating your purchases from all Joe’s and Russell’s plants in 2006 is available, but this sales data cannot be separated on a plant-by-plant basis. Therefore, we are providing you with a statement of all of your 2006 purchases from Joe’s and Russell’s plants according to the electronic sales data. If you wish to make a claim for Settlement benefits based upon your purchases from Joe’s and Russell’s in 2006, you must state the percentage of your total purchases from Joe’s and Russell’s that were from the plants in Sanborn, Sibley and Hartley.

**Your statement of purchases from included plants may be a good faith estimate based upon your knowledge and internal records. However, before accepting your estimate, the Claims Administrator may compare your estimate against additional documentation requested from the Settling Defendants, and may request additional documentation from you supporting your estimate.**

**Column 1** in the Chart below includes your 2006 purchases from the plants formerly owned by Joe’s and located in: Akron, Hawarden, Le Mars North, Sanborn, Sibley, and Sioux Center.

In **Column 2** you must state the percentage of the purchases in **Column 1** that were from the Joe’s plants located in Sanborn or Sibley.

**Column 3** in the Chart below includes your 2006 purchases from the plants formerly owned by Russell’s and located in: Cherokee North, Hartley, Holstein, Ida Grove, Le Mars South, Moville, Orange City, Remsen, Sheldon, and Storm Lake.

In **Column 4** you must state the percentage of the purchases in **Column 3** that were from the Russell’s plants located in Hartley.

<b>Chart 2: Purchases from Joe’s and Russell’s Plants in 2006</b>			
<b>COLUMN 1</b>	<b>COLUMN 2</b>	<b>COLUMN 3</b>	<b>COLUMN 4</b>
<b>Purchases from <u>all</u> Joe’s Plants in 2006</b>	<b>Estimated percentage of total Joe’s purchases in 2006 from plants in <u>Sanborn</u> and <u>Sibley</u></b>	<b>Purchases from <u>all</u> Russell’s plants in 2006</b>	<b>Estimated percentage of total Russell’s purchases in 2006 from plant in <u>Hartley</u></b>
\$ <b>[INSERT AMOUNT]</b>		\$ <b>[INSERT AMOUNT]</b>	

**C. CHART 3—KNOWN QUALIFYING PURCHASES FROM GREAT LAKES PLANTS:** This chart states the amount of your known Qualifying Purchases (as defined in the Notice) from the Great Lakes Plants in Ocheyden, Milford, Spencer, or Spirit Lake.

- *If you agree* with the amount indicated in Column 1, check the box in Column 2 next to that amounts and leave Column 3 blank.

- ***If you disagree*** with the amount in Column 2, provide what you believe to be the correct amount of Qualifying Purchases, based on your records, in Column 3 and **submit documentation along with this Claim Form to support the amount of Qualifying Purchases you are claiming in Column 3.**

**Chart 3: Purchases from Great Lakes Plants 2006 through 2009**

COLUMN 1	COLUMN 2	COLUMN 3
Qualifying Purchases during 2006 through 2009 according to Great Lakes' records	Check below if you agree with the amount in Column 1	<b>IF YOU DISAGREE WITH THE AMOUNT IN COLUMN 1, indicate the amount of your Qualifying Purchases based on your records (otherwise, leave this column blank) and ATTACH DOCUMENTATION to support your claimed amount</b>
\$ [INSERT AMOUNT]		

**IMPORTANT:** If you agree with the amount in Column 1 above, you do not have to provide any documentation of these purchases along with your completed and signed Claim Form. **If you have disagreed with the amount in Column 1 above you MUST submit documentation for your claimed purchases in Column 3 or your claimed amount will not be considered by the Claims Administrator.**

**IV. CLAIMS BASED ON ASSIGNMENT OR TRANSFER**

If the Claimant on whose behalf this Claim Form is being submitted acquired the rights to make a claim from some other person or entity (as assignee, transferee, successor, or otherwise), please check the box below and attach copies of legal documents that support the acquisition of the rights to make a claim.

- I am submitting this Claim Form as an assignee, transferee, successor, or otherwise based on rights acquired from some other person or entity. Legal documents that support my acquisition of the right to make a claim are attached.

Each claimant must provide the following requested information. If the correct information is not provided, a portion of any payment that the claimant may be entitled to receive from the Settlement Fund may be withheld. Instructions regarding IRS Form W-9 are available at the Internal Revenue Service website at [www.irs.gov](http://www.irs.gov).

**Request for Federal Taxpayer Identification Number and Certification**

Claimant’s federal taxpayer identification number is:

Employer Identification Number (for corporations, trusts, etc.)	or	Social Security Number (for individuals)												
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Name of taxpayer whose identification number is written above:

Entity Name (if providing EIN)

or

Individual’s Last Name (if providing SSN)	Individual’s First Name

I certify that the above taxpayer is **NOT** subject to backup withholding under the provisions of Section 3406(a)(1)(C) of the Internal Revenue Code. **NOTE:** If you have been notified by the IRS that you are subject to backup withholding, please strike out the word “**NOT**” in the previous sentence.

**VI. ACKNOWLEDGMENT AND VERIFICATION**

I acknowledge and represent that I have read the Notice of Settlement Distribution, and I declare under the penalties for perjury under the laws of the United States of America (i) that the information contained in this Claim Form is true and correct; (ii) that I am duly authorized to sign and submit this Claim Form on behalf of the Claimant; (iii) that the Claimant is a member of the Settlement Class and has not requested to be excluded from the Settlement Class with respect to any Settlement; (iv) that the purchases of Ready-Mixed Concrete listed were made by the Claimant **directly** from the plants listed; (v) that the Claimant does not know of any other claim being submitted for the same purchases; and (vi) that the Claimant has not transferred or assigned its claims.

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed name

\_\_\_\_\_  
Title or position (if claimant is a business)