

# Exhibit 4

IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA

FEDERAL TRADE COMMISSION,

Plaintiff,

v.

WILH. WILHELMSSEN HOLDING ASA,

WILHELMSSEN MARITIME  
SERVICES AS,

RESOLUTE FUND II, L.P.,

DREW MARINE INTERMEDIATE II B.V.,

and

DREW MARINE GROUP INC.,

Defendants.

Civil Action No. 1:18-cv-00414-TSC

**PROTECTIVE ORDER**

For the purposes of protecting the interests of the parties and third parties in the above-captioned matter against the improper use and disclosure of confidential information submitted or produced in connection with this matter:

IT IS HEREBY ORDERED THAT this Protective Order shall govern the handling of all confidential material, as hereafter defined.

1. As used in this Order, "confidential material" shall refer to any document or portion thereof that contains privileged information, competitively sensitive information, or sensitive personal information. "Sensitive personal information" shall refer to, but shall not be limited to, an individual's Social Security number, taxpayer identification number, financial account number, credit card or debit card number, driver's license number, state-issued identification number, passport number, date of birth (other than year), and

any sensitive health information identifiable by individual, such as an individual's medical records. "Document" shall refer to any discoverable writing, recording, transcript of oral testimony, or electronically stored information in the possession of a party or third party. "Commission" shall refer to the Federal Trade Commission ("FTC"), or any of its employees, agents, attorneys, and all other persons acting on its behalf, excluding persons retained as consultants or experts for purposes of this proceeding.

2. Any document or portion thereof submitted by a Defendant or a third party during a Federal Trade Commission investigation or during the course of this proceeding that is entitled to confidentiality under the Federal Trade Commission Act, or any regulation, interpretation, or precedent concerning documents in the possession of the Commission, as well as any information taken from any portion of such document, shall be treated as confidential material for purposes of this Order. The identity of a third party submitting such confidential material shall also be treated as confidential material for the purposes of this Order where the submitter has requested such confidential treatment.
3. The parties and any third parties, in complying with informal discovery requests, disclosure requirements, or discovery demands in this proceeding may designate any responsive document or portion thereof as confidential material, including documents obtained by them from third parties pursuant to discovery or as otherwise obtained.
4. The parties, in conducting discovery from third parties, shall provide to each third party a copy of this Order so as to inform each such third party of his, her, or its rights herein.
5. A designation of confidentiality shall constitute a representation in good faith and after careful determination that the material is not reasonably believed to be already in the

public domain and that counsel believes the material so designated constitutes confidential material as defined in Paragraph 1 of this Order.

6. Material may be designated as confidential by placing on or affixing to the document containing such material (in such manner as will not interfere with the legibility thereof), or if an entire folder or box of documents is confidential by placing or affixing to that folder or box, the designation “CONFIDENTIAL – FTC v. Wilhelmsen/Drew,” “CONFIDENTIAL—FTC v. Wilh. Wilhelmsen Holding, *et al.*, Case No. 18-cv-00414,” or any other appropriate notice that identifies this proceeding, together with an indication of the portion or portions of the document considered to be confidential material. Confidential information contained in electronic documents may also be designated as confidential by placing the designation “CONFIDENTIAL – FTC v. Wilhelmsen/Drew,” “CONFIDENTIAL—FTC v. Wilh. Wilhelmsen Holding, *et al.*, Case No. 18-cv-00414” or any other appropriate notice that identifies this proceeding, on the face of the CD or DVD or other medium on which the document is produced. Masked or otherwise redacted copies of documents may be produced where the portions deleted contain privileged matter, provided that the copy produced shall indicate at the appropriate point that portions have been deleted and the reasons therefor.
7. Confidential material shall be disclosed only to: (a) the Court presiding over this proceeding, personnel assisting the Court, the Commission and its employees, and personnel retained by the Commission as experts or consultants for this proceeding; (b) judges and other court personnel of any court having jurisdiction over any appellate proceedings involving this matter; (c) outside counsel of record for any Defendant, their associated attorneys and other employees of their law firm(s), provided they are not

employees of a Defendant; (d) anyone retained to assist outside counsel in the preparation or hearing of this proceeding including consultants, provided they are not affiliated in any way with a Defendant and have signed an agreement to abide by the terms of the protective order; and (e) any witness or deponent who may have authored or received the information in question.

8. In addition, and subject to other provisions contained in this Order, Defendants have designated one individual from Wilhelmsen (Mr. Jon Bjoernvold) and one individual from Drew (Mr. Len Gelosa) who may access the following information only: (1) the identities of declarants who submitted or will submit a declaration to the FTC during the FTC's non-public investigation into the proposed transaction between Wilhelmsen and Drew or in this proceeding; and (2) redacted versions of these declarations that redact out confidential information. Mr. Bjoernvold and Mr. Gelosa shall have access to such material for the purpose of defending this litigation only and shall not use the information to retaliate against, threaten, or otherwise intimidate any potential witness in this litigation. Mr. Bjoernvold and Mr. Gelosa may only access this information in person at the offices of their outside counsel, or using a secure electronic data room or document review platform using individual login identification and passwords.
9. Within two business days of entry of this Order, Plaintiff shall serve on all declarants a notice that names Mr. Bjoernvold and Mr. Gelosa as individuals who may access the declarants' identities and redacted versions of the declarations. Plaintiff will inform all declarants that they have five business days from notification to either object to this provision or provide a declaration that redacts confidential information. Mr. Bjoernvold and Mr. Gelosa shall not receive access to this information earlier than five business days

after Plaintiff serves notice to the declarants, absent express written consent of the declarants. Before the expiration of that five-business-day period, a declarant may file an objection with the Court, stating the basis for its objection to any such disclosure. If any such objection is filed, Mr. Bjoernvold and Mr. Gelosa may not access that information until all applicable objections are resolved. Any objections shall be decided on an expedited schedule: Defendants may file a brief responding to any objection within three business days of the filing of any objection, and any reply shall be filed within three business days thereafter. All filings shall be limited to four pages, excluding exhibits. To the extent Plaintiff or Defendants object to any redactions applied to the declarations, they will inform each other first and then collectively meet-and-confer with the declarants to discuss a resolution. If there is an impasse, the parties and the declarant will collectively inform the Court of the impasse.

10. Disclosure of confidential material to any person described in Paragraphs 7 and 8 of this Order shall be only for the purposes of the preparation and hearing of this proceeding, or any appeal therefrom, and for no other purpose whatsoever, provided, however, that the Commission may, subject to taking appropriate steps to preserve the confidentiality of such material, use or disclose confidential material as provided by its Rules of Practice; sections 6(f) and 21 of the Federal Trade Commission Act; or any legal other obligation imposed upon the Commission.
11. In the event that any confidential material is contained in any pleading, motion, exhibit or other paper filed or to be filed with the Court, the Court shall be so informed by the Party filing such papers, and such papers shall be filed *in camera*. To the extent that such material was originally submitted by a third party, the party including the material in its

papers shall immediately notify the submitter of such inclusion. Confidential material contained in the papers shall continue to have *in camera* treatment until further order of the Court, provided, however, that such papers may be furnished to persons or entities who may receive confidential material pursuant to Paragraphs 7 or 8. Upon or after filing any paper containing confidential material, the filing party shall file on the public record a duplicate copy of the paper that does not reveal confidential material. Further, if the protection for any such material expires, a party may file on the public record a duplicate copy, which also contains the formerly protected material.

12. If counsel plans to introduce into evidence at the hearing any document or transcript containing confidential material produced by another party or by a third party, they shall provide advance notice to the other party or third party for purposes of allowing that party to seek an order that the document or transcript be granted *in camera* treatment. If that party wishes *in camera* treatment for the document or transcript, the party shall file an appropriate motion with the Court within five business days after it receives such notice. Except where such an order is granted, all documents and transcripts shall be part of the public record. Where *in camera* treatment is granted, a duplicate copy of such document or transcript with the confidential material deleted therefrom may be placed on the public record.
13. If any party receives a discovery request in any investigation or in any other proceeding or matter that may require the disclosure of confidential material submitted by another party or third party, the recipient of the discovery request shall promptly notify the submitter of receipt of such request. Unless a shorter time is mandated by an order of a court, such notification shall be in writing and be received by the submitter at least ten



business days before production, and shall include a copy of this Protective Order and a cover letter that will apprise the submitter of its rights hereunder. Nothing herein shall be construed as requiring the recipient of the discovery request or anyone else covered by this Order to challenge or appeal any order requiring production of confidential material, subject itself to any penalties for non-compliance with any such order, or to seek any relief from the Court. The recipient shall not oppose the submitter's efforts to challenge the disclosure of confidential material. In addition, nothing herein shall limit the applicability of Rule 4.11(e) of the Commission's Rules of Practice, 16 C.F.R. § 4.11(e), to discovery requests in another proceeding that are directed to the Commission.

14. At the time that any consultant or other person retained to assist counsel in the preparation of this action concludes participation in the action, such person shall return to counsel all copies of documents or portions thereof designated confidential that are in the possession of such person, together with all notes, memoranda or other papers containing confidential information. At the conclusion of this proceeding, including the exhaustion of judicial review, the parties shall return documents obtained in this action to their submitters, provided, however, that the Commission's obligation to return documents shall be governed by the provisions of Rule 4.12 of the Rules of Practice, 16 C.F.R. § 4.12.
15. Plaintiff and Defendants shall promptly report any confirmed or suspected unauthorized use or disclosure of confidential material to the Court and opposing counsel. Any knowing and intentional violation of this Order by Plaintiff, Defendants, or any persons with access to confidential material pursuant to this Order may be deemed contempt and



punished by a non-indemnifiable fine of up to \$150,000 or any other such action as the Court may see fit.

16. The provisions of this Protective Order, insofar as they restrict the communication and use of confidential discovery material, shall, without written permission of the submitter or further order of the Court, continue to be binding after the conclusion of this proceeding.
17. There will be no further modifications to this Protective Order absent mutual agreement of the parties.

SO ORDERED:

Dated: ~~March~~ <sup>April</sup> 2, 2018



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Judge Tanya S. Chutkan  
United States District Court Judge