

**UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF COLUMBIA**

UNITED STATES OF AMERICA, *et al.*,

*Plaintiffs,*

v.

Civil Action No. 1:22-cv-0481 (CJN)

UNITEDHEALTH GROUP INCORPORATED  
and  
CHANGE HEALTHCARE INC.,

*Defendants.*

**PLAINTIFFS' MOTION *IN LIMINE* TO EXCLUDE DEFENDANTS' EXHIBITS OF  
NON-TESTIFYING THIRD PARTY WITNESSES**

Pursuant to Federal Rule of Evidence 802, Plaintiffs United States, State of New York, and State of Minnesota, respectfully request that the Court preclude Defendants from introducing into evidence third-party documents on Defendants' exhibit list for which they neither established a foundation through deposition testimony nor plan to have a live witness appear at trial to do so. These documents are hearsay and Defendants have failed to establish that an exception applies to them through discovery and will not be able to at trial. Further, the Court will not be able to hear from anyone with personal knowledge about these documents to explain their relevance to this case.

**I. Background**

This is not an isolated problem limited to a few documents. Defendants exhibits list includes 99 documents from 10 third parties for which no sponsoring witness has been presented. Despite having months of discovery to do so, Defendants chose not to depose anyone from these

companies about these documents and are not planning to call any witnesses from these companies at trial. In short, Defendants have no way of establishing what these documents are or that an exception to the hearsay rules apply and therefore they should be excluded. The exhibits Plaintiffs seek to exclude by this motion are listed in Exhibit A.<sup>1</sup>

**II. Defendants’ Third-Party Documents Are Hearsay and Should Be Excluded.**

Out-of-court statements offered to prove the truth of the matter asserted constitute hearsay. Fed. R. Evid. 801. Unless covered by an exception or exclusion to the hearsay rule such statements are inadmissible. Fed. R. Evid. 802. The third-party documents in Exhibit A that are on Defendants’ exhibit list clearly meet the definition of hearsay: Defendants are seeking to admit them to prove the truth of the matters asserted within the documents. However, Defendants have not established and will not be able to establish that a hearsay exception—such as the business records exception—applies to render them admissible. *See, e.g.*, Fed. R. Evid. 803(7).

Courts generally require a witness to testify about a document prior to their admission for this very reason. Without a sponsoring witness Defendants cannot establish their admissibility and the Court is not given adequate context to assess their relevancy. *See United States v. AT&T Inc.*, 310 F. Supp. 3d 161, 186-87 (D.D.C. 2018) (explaining that the Court “generally instructed the parties to seek admission of documents through sponsoring witnesses, in order to facilitate determinations of relevancy or to establish the foundation necessary for nonhearsay or hearsay exceptions. Witnesses would be able to contextualize and explain the technical and lengthy documents at issue, which might otherwise be misunderstood or selectively cited in post-trial

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<sup>1</sup> Exhibit A does not include documents from third parties for which deposition testimony has been designated by Defendants or from third parties on Defendants’ witness list. Plaintiffs reserve the right to object to the admission of documents from these third parties if a proper foundation for their admission is not established at trial.

briefs.”). This is particularly important for third party documents because the third party is not a participant in this action and cannot otherwise explain the document or contest the misrepresentation of a document.

### **III. Conclusion**

For the foregoing reasons, Plaintiffs request that the Court exclude the third-party documents from Defendants’ exhibit list listed in Exhibit A.

Dated: July 13, 2022

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**Exhibit A**

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