STIPULATION AND ORDER REGARDING EXPERT DISCOVERY - 1 CASE NO. 2:23-cv-01495-JHC FEDERAL TRADE COMMISSION 600 Pennsylvania Avenue, NW Washington, DC 20580 (202) 326-2222

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or thoughts and includes, but is not limited to, written, oral, and electronic correspondence through text messages, social media and online postings, and any content on any messaging applications such as, but not limited to, WhatsApp, Signal, Microsoft Teams, Confide, Facebook Messenger, and Snapchat.

- 1.3 "Consultant" means a Person, retained by a Party or their Testifying Expert specifically for purposes of the Action, to assist that Party in the prosecution or defense of the Action, and who will not provide testimony pursuant to Fed. R. Civ. P. 26(a)(2). For the avoidance of doubt, the term Consultant does not include a Party or a Party's employees.
- 1.4 "Counsel" shall mean, as applied to outside counsel, counsel retained by a Party or Parties in connection with the Action and, with respect to Amazon's outside counsel, any Related Action. As applied to in-house counsel, it shall mean any lawyer employed (or previously employed, at the time of the pertinent communication) by a Party when acting primarily in a legal capacity for that Party. As applied to Plaintiffs, this includes, but is not limited to, (a) any attorneys who are, or previously were (at the time of the pertinent communication), employed by the Federal Trade Commission and acting primarily in a legal capacity for the Federal Trade Commission, and (b) any attorneys who are, or previously were (at the time of the pertinent communication), employed by the relevant office of a Plaintiff State and acting primarily in a legal capacity for that Plaintiff State. As applied to All Parties, the term Counsel also includes, but is not limited to, any economists, financial analysts, statisticians, paralegals, investigators, technologists, administrative assistants, and clerical and administrative personnel employed by, or contracted with, the Party, provided that such employees or contractors are acting under the direction or supervision of Counsel and in connection with the Action or any Related Action.

include a Party or a Party's employees, except that it includes a Party or Party's employees who are a Testifying Expert required to provide a report pursuant to Fed. R. Civ. P. 26(a)(2) or a Party or Party's employees who assist or support a Party or Party's employees who are required to provide a report pursuant to Fed. R. Civ. P. 26(a)(2).

- 1.13 "Plaintiff States" means the States of Connecticut, Delaware, Maine, Maryland, Massachusetts, Michigan, Minnesota, Nevada, New Hampshire, New Jersey, New Mexico, New York, Oklahoma, Oregon, Pennsylvania, Rhode Island, Wisconsin, and any other state or territory of the United States of America that joins the Action.
- 1.14 "Testifying Expert" means a Person, retained by a Party or Parties specifically for purposes of the Action, to assist that Party in the prosecution or defense of the Action, and who is required to provide a report pursuant to Fed. R. Civ. P. 26(a)(2)(B) and/or any other Person who is required to provide an expert report pursuant to Fed. R. Civ. P. 26(a)(2).

2. General Provisions

- 2.1 This Stipulation and Order applies to All Parties to the Action. This Stipulation and Order provides the protocols applicable to conducting discovery relating to Testifying Expert(s), Consultant(s), or their Staff. Nothing herein shall preclude any Party from seeking to modify it later for good cause; prior to doing so, however, counsel of record shall meet and confer among themselves in a good-faith effort to reach agreement as to the appropriate scope of any modifications or revisions to this Stipulation and Order.
- 2.2 All Parties shall not serve subpoenas or discovery requests (for depositions or Documents) on any Testifying Expert, Consultant, or their Staff. Instead, the Party proffering a Testifying Expert will: (a) be responsible for producing all materials and information required under this Stipulation or, to the extent not addressed in this Stipulation, under the Federal Rules

complete copies of all data sets from which information relied upon was derived or obtained, all data and programs underlying any calculations, including all computer programs or code necessary to recreate any data, intermediate working-data, calculations, estimations, tables, or graphics, and including the intermediate working-data files that are generated from any initial ("raw") data files and used in performing the calculations appearing in the report.

- 4.1.2 A list of all Documents referred to in the Testifying Expert's report or declaration and/or relied upon by the Testifying Expert in forming the Testifying Expert's opinions. For purposes of this list, identifying Documents by Bates number is sufficient. To the extent that any such Documents do not already have a Bates number, copies of those documents shall be produced. Such copies of Documents may be produced with a Bates number, but Bates numbering is not required.
- 4.1.3 A statement of the witness's qualifications, including a list of all publications authored, in whole or in part, by the Testifying Expert in the previous 10 years and copies of all such publications that are not readily available publicly, provided that such publications are accessible by the Testifying Expert. Publications which exist only behind a paywall or in print shall be considered as not readily available publicly.
- 4.1.4 A list of all other case(s), wherever those cases took place, in which, during the previous 4 years, the Testifying Expert testified as an expert at trial or by deposition, including the tribunal and case number.
- 4.1.5 A statement of the terms of the compensation and of the compensation paid and/or to be paid to the Testifying Expert for the Testifying Expert's work and testimony in the case.

4.2 Testifying Expert reports shall be served via email. Except to the extent included
within a Testifying Expert's report, the information required by subparagraphs 4.1.1 - 4.1.5
above shall be produced electronically in a manner agreed to by All Parties and, and, with
respect to paragraph 4.1.1, in machine-readable format, where feasible. All Parties shall meet
and confer in good faith to attempt to establish, no later than 15 days after the close of fact
discovery, an agreed method for ensuring that the receiving parties are able to complete receipt
of the materials by the due date.

- 4.3 Paragraphs 4.1.1 4.1.5 above are not intended to limit the ability of any Party to prepare and use demonstrative exhibits, including demonstrative exhibits that may relate to a Testifying Expert's testimony, during the course of any deposition, hearing, or trial. The use and disclosure of any such demonstrative exhibits shall be governed by the Federal Rules of Evidence, the Federal Rules of Civil Procedure, and this Court's Local Rules and Orders.
- 5. Expert materials not requiring disclosure
- 5.1 Notwithstanding the foregoing and the Federal Rules of Civil Procedure, and except as otherwise required by paragraph 5.2 below, the following materials² shall not be subject to discovery or disclosure by any method (including by deposition):

² Paragraph 5.1 (including subparagraphs 5.1.1 through 5.1.8) shall apply to materials created or communications occurring after the initiation of the Action (i.e., post-Action Documents and communications). Paragraph 5.1 (including subparagraphs 5.1.1 through 5.1.8) shall also apply to materials created or communications occurring prior to the initiation of the Action (i.e., pre-Action Documents and communications), provided that (i) with respect to subparagraphs 5.1.1(a) and 5.1.1(b), all participants in the communication (a) were retained or employed by, on behalf of, or represented the same Party at the time of the communication and the communication was made in connection with, or in anticipation of, the Action or, with respect to Amazon, any Related Action, or (b) all participants in the communication were retained or employed by, on behalf of, or represented Plaintiffs, or investigating states that did not become Plaintiffs, at the time of the communication and the communication was made in connection with, or in anticipation of, the Action; and (ii) with respect to subparagraph 5.1.2, the Testifying Expert was

1	5.1.1	The c	ontent of communications, whether oral or written, only among or
2	between:		
3		(a)	Counsel and a Testifying Expert, Consultant, and/or any Staff
4			assisting the Testifying Expert or Consultant, except to the extent
5			the Testifying Expert relies upon such communications as a basis
6			or reason for his or her opinions;
7		(b)	a Testifying Expert or Consultant and other Testifying Experts and
8			Consultants, as well as any Staff assisting such Testifying Experts
9			or Consultants, except to the extent the Testifying Expert relies
10			upon such communications as a basis or reason for his or her
11			opinions; and
12		(c)	a Testifying Expert or Consultant and any Staff assisting such
13			Testifying Expert or Consultant. ³
14	5.1.2	Draft	s of Testifying Expert reports, affidavits, declarations, or other
15	Testifying Expert m	aterials,	including, without limitation:
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18			on behalf of a Party in the Action or, with respect to Amazon, any
19	Related Action at the time the materials were prepared, and the materials were prepared on behalf of the same Party that retained or employed the Testifying Expert in the Action or, with respect to Amazon, any Related Action, and the materials were prepared in connection with, or in anticipation of, the Action or, with respect to Amazon, any Related Action.		
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21	³ For purposes of this Stipulation and Order, "Plaintiffs' Counsel" includes Counsel for any Plaintiff in the Action and "Defendant's Counsel" includes Counsel for any Defendant in the		
22	Action or any Related Action. Communications between or among Counsel for (a) different Plaintiffs in this Action or (b) different Defendants (if additional defendants are later added to the Action or any Related Action) are included within this provision. Additionally, notwithstanding this provision, this expert stipulation does not, and is not intended to, abridge		
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24	the attorney-client p		

1		(a)	draft studies, analyses, opinions, or written expert testimony
2			prepared in connection with the Action or any Related Action;
3		(b)	draft Testifying Expert work papers prepared in connection with
4			the Action or any Related Action; and
5		(c)	draft computer code (including queries), preliminary or
6			intermediate calculations, computations, modeling, intermediate
7			working data files, log files reflecting draft computer code, or data
8			runs prepared in connection with the Action or any Related Action,
9			except as described in paragraph 4.1.1.
10	5.1.3	The no	otes of a Testifying Expert, Consultant, or Staff, except for notes of
11	interviews of persons	where	the Testifying Expert, Consultant, or Staff participated in or
12	conducted the interview and where the Testifying Expert relied on the interview in forming any		
13	opinions in his or her final report.		
14	5.1.4	Any c	omments, whether oral or written, related to a final or draft report,
15	affidavit, declaration, or potential testimony of a Testifying Expert prepared in connection with		
16	the Action or any Related Action by:		
17		(a)	Counsel;
18		(b)	a Consultant;
19		(c)	Staff;
20		(d)	any other Testifying Expert;
21		(e)	any other Consultant; or
22		(f)	Staff of another Testifying Expert or Consultant.
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1	Stipulated to and respectfully submitted this 29th day of January, 2024, by:			
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1	ORDER
2	IT IS SO ORDERED.
3	DATED this 29 th day of January, 2024.
4	John H. Chun
5	JOHN H. CHUN
6	UNITED STATES DISTRICT JUDGE
7	Presented By:
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